





Department for Children and Families
Economic Services Division
OFFICE of HOME HEATING FUEL ASSISTANCE

103 South Main Street Waterbury, VT 05671-5501 1-800-479-6151

# **MEMORANDUM**

To:

James (Mike) Winton, HHS//ACF/OCS Division of Energy Assistance

From:

Richard Moffi, Fuel Assistance Program Chief

Subject:

Vermont LIHEAP Block Grant - Plan Development, Public Meeting

and Income Maximums

Date:

November 21, 2013

**LIHEAP Plan Development** 

By statute the Fuel Assistance Director receives input and recommendations from the statute-established Home Energy Assistance Task Force (HEAT Force) for major policy, rule and plan changes including those that impact client benefits. The HEAT Force had no comments on the FFY2014 LIHEAP Block Grant Plan.

# FFY2014 Vermont Plan Public Hearing

On Tuesday August 27, 2013 the Vermont Agency of Human Services advertised for and conducted on Tuesday August XX, 2013 a public hearing of the states block grant and annual plans including LIHEAP. Records related to that meeting including the minutes are maintained by the Vermont Agency of Human Services and are available on request.

# Assurance (2)(B) - Income Maximum

This is to clarify the incomes identified in the LIHEAP Block Grant Plan for FFY2014.

- For seasonal fuel assistance benefits the income maximum for benefit eligibility based on household size does not exceed 60 percent of the state median income.
- For crisis fuel assistance benefits the income maximum for benefit eligibility based on household size does not exceed 60 percent of the state median.

Mike, thank you for your ongoing patience and support. Please let me know what additional information you require.

## **ATTACHMENT 3**

# LOW INCOME HOME ENERGY ASSISTANCE PROGRAM (LIHEAP)

# ABBREVIATED PLAN (Detailed Plan Required Every Three Years)

# **PUBLIC LAW 97-35, AS AMENDED**

	FISCAL YEAR (FY)
GRANTEE	STATE of VERMONT
EIN:	1-036000274-A8
ADDRESS	103 South Main Street
•	Waterbury, VT 05671-5501
	EAP COORDINATOR Richard Moffi, Fuel Assistance Program Chi
	richard.moffi@state.vt.us
TELEPHONE:	802-769-6448 FAX: 802-769-2186
LEASE CHEC	CK ONE: TRIBE STATE X INSULAR AREA
	Health and Human Services

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01 OMB Approval No. 0970-0075 Expiration Date: 09/30/2011

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Use of this model plan is optional. However, the information requested is required in order to receive a Low Income Home Energy Assistance Program (LIHEAP) grant in years in which the grantee is not permitted to file an abbreviated plan. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

GRANTEE	State of VERMON	Г
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FFY 2014

agrees to:

Assurances

The	State of Vermont	•	
	(Grantee Name)		

- (1) use the funds available under this title to-
  - (A) conduct outreach activities and provide assistance to low income households in meeting their home energy costs, particularly those with the lowest incomes that pay a high proportion of household income for home energy, consistent with paragraph (5);
  - (B) intervene in energy crisis situations;
  - (C) provide low-cost residential weatherization and other cost-effective energy-related home repair; and
  - (D) plan, develop, and administer the State's program under this title including leveraging programs,

and the State agrees not to use such funds for any purposes other than those specified in this title;

- (2) make payments under this title only with respect to-
  - (A) households in which one or more individuals are receiving--
    - (i) assistance under the State program funded under part A of title IV of the Social Security Act;
    - (ii) supplemental security income payments under title XVI of the Social Security Act;
    - (iii) food stamps under the Food Stamp Act of 1977 as amended; or
    - (iv) payments under section 415, 521, 541, or 542 of title 38, United States Code, or under section 306 of the Veterans' and Survivors' Pension Improvement Act of 1978; or
  - (B) households with incomes which do not exceed the greater of-
    - (i) gross income in an amount equal to 185 percent of the 2012 federal poverty level guidelines for seasonal fuel assistance:
    - (ii) gross income in an amount equal to 200 percent of the 2012 federal poverty level guidelines for crisis fuel assistance; and
    - (iii) based on household size for seasonal and crisis assistance incomes shall not exceed maximums established by LIHEAP; and

except that a State may not exclude a household from eligibility in a fiscal year solely on the basis of household income if such income is less than 110 percent of the poverty level for such State, but the State may give priority to those households with the highest home energy costs or needs in relation to household income.

- (3) conduct outreach activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals, or both, and households with high home energy burdens, are made aware of the assistance available under this title, and any similar energy-related assistance available under subtitle B of title VI (relating to community services block grant program) or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;
- (4) coordinate its activities under this title with similar and related programs administered by the Federal Government and such State, particularly low-income energy-related programs under subtitle B of title VI (relating to community services block grant program), under the supplemental security income program, under part A of title IV of the Social Security Act, under title XX of the Social Security Act, under the low-income weatherization assistance program under title IV of the Energy Conservation and Production Act, or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;
- (5) provide, in a timely manner, that the highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income, taking into account family size, except that the State may not differentiate in implementing this section between the households described in clauses 2(A) and 2(B) of this subsection;
- (6) to the extent it is necessary to designate local administrative agencies in order to carry out the purposes of this title, to give special consideration, in the designation of such agencies, to any local public or private nonprofit agency which was receiving Federal funds under any low-income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that—
  - (A) the State shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the State; and
  - (B) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the State shall give special consideration in the designation of local administrative agencies to any successor agency which is operated in substantially the same manner as the predecessor agency which did receive funds for the fiscal year preceding the fiscal year for which the determination is made;
- (7) if the State chooses to pay home energy suppliers directly, establish procedures to --
  - (A) notify each participating household of the amount of assistance paid on its behalf;
  - (B) assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment made by the State under this title;

- (C) assure that the home energy supplier will provide assurances that any agreement entered into with a home energy supplier under this paragraph will contain provisions to assure that no household receiving assistance under this title will be treated adversely because of such assistance under applicable provisions of State law or public regulatory requirements; and
- (D) ensure that the provision of vendor payments remains at the option of the State in consultation with local grantees and may be contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households, including providing for agreements between suppliers and individuals eligible for benefits under this Act that seek to reduce home energy costs, minimize the risks of home energy crisis, and encourage regular payments by individuals receiving financial assistance for home energy costs;

# (8) provide assurances that,

- (A) the State will not exclude households described in clause (2)(B) of this subsection from receiving home energy assistance benefits under clause (2), and
- (B) the State will treat owners and renters equitably under the program assisted under this title;

## (9) provide that--

- (A) the State may use for planning and administering the use of funds under this title an amount not to exceed 10 percent of the funds payable to such State under this title for a fiscal year; and
- (B) the State will pay from non-Federal sources the remaining costs of planning and administering the program assisted under this title and will not use Federal funds for such remaining cost (except for the costs of the activities described in paragraph (16));
- (10) provide that such fiscal control and fund accounting procedures will be established as may be necessary to assure the proper disbursal of and accounting for Federal funds paid to the State under this title, including procedures for monitoring the assistance provided under this title, and provide that the State will comply with the provisions of chapter 75 of title 31, United States Code (commonly known as the "Single Audit Act");
- (11) permit and cooperate with Federal investigations undertaken in accordance with section 2608;
- (12) provide for timely and meaningful public participation in the development of the plan described in subsection (c);
- (13) provide an opportunity for a fair administrative hearing to individuals whose claims for assistance under the plan described in subsection (c) are denied or are not acted upon with reasonable promptness; and

- (14) cooperate with the Secretary with respect to data collecting and reporting under section 2610.
- (15) \* beginning in fiscal year 1992, provide, in addition to such services as may be offered by State Departments of Public Welfare at the local level, outreach and intake functions for crisis situations and heating and cooling assistance that is administered by additional State and local governmental entities or community-based organizations (such as community action agencies, area agencies on aging and not-for-profit neighborhood-based organizations), and in States where such organizations do not administer functions as of September 30, 1991, preference in awarding grants or contracts for intake services shall be provided to those agencies that administer the low-income weatherization or energy crisis intervention programs.
- \* This assurance is applicable only to States, and to territories whose annual regular LIHEAP allotments exceed \$200,000. Neither territories with annual allotments of \$200,000 or less nor Indian tribes/tribal organizations are subject to Assurance 15.
- (16) use up to 5 percent of such funds, at its option, to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance, including needs assessments, counseling, and assistance with energy vendors, and report to the Secretary concerning the impact of such activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.

<u>Certification to the Assurances</u>: As Chief Executive Officer, I agree to comply with the sixteen assurances contained in Title XXVI of the Omnibus Budget Reconciliation Act of 1981, as amended.\* By signing these assurances, I also agree to abide by the standard assurances on lobbying, debarment and suspension, and a drug-free workplace.

Signature of the Tribal or Board Chairperson or Chief Executive Officer of the State or Territory.\*\*

Signature:

mi Hearing

Title:

Dixie Henry, Deputy Secretary, Vermont Agency of Human Services

Date:

8/21/13

In the above assurances which are quoted from the law, "State" means the 50 States, the District of Columbia, an Indian Tribe or Tribal Organization, or a Territory; "title" of the Act refers to Title XXVI of the Omnibus Budget Reconciliation Act of 1981 (OBRA), as amended, the "Low Income Home Energy Assistance Act"; "section" means Section 2605 of OBRA; and, "subsection" refers to Section 2605(b) of OBRA.

<sup>\*</sup> Indian tribes/tribal organizations, and territories with annual regular LIHEAP allotments of \$200,000 or less, are not subject to assurance 15, and thus must only certify to 15 assurances.

<sup>\*\*</sup> If a person other than the <u>Chief Executive Officer</u> of the State or territory, or Tribal Chairperson or Board Chairperson of a tribal organization, is signing the certification to the assurances, <u>a letter must be submitted delegating such authority</u>. (PLEASE ATTACH DELEGATION of AUTHORITY.) The delegation must include authority to sign the assurances, not just to administer the program.

<sup>\*\*\*</sup> HHS needs the EIN (Entity Identification Number) of the State, territory or Tribal agency that is to receive the grant funds before it can issue the grant.

VERMONT LIHEAP Block Grant	FFY2012 Actual	FFY2013 Estimated	FFY2014 Proposed
Goal #1 Home heating energy cost supplement to needy low income households, including the use of program funds for services to inform and assist persons to apply.	\$15,751,526	\$15,697,000	\$13,882,000
Goal #2			
Home heating crisis assistance for Low income needy households, Including the use of program funds for services to inform and assist persons to apply and resolve crisis situations.  Goal # 3	\$3,992,152	\$2,821,000	\$2,000,000
Administrative expense containment			·
Within the 10% maximum allowed.	\$1,952,915	\$1,867,000	\$1,699,000
Transfer to Weatherization  Source of Funds	\$ - 0 -	\$ -0 -	\$ -0-
LIHEAP Block Grant Award	\$19,529,156	\$18,229,732	\$16,990,000
Supplemental LIHEAP Award	\$ -0-	\$ - 0 -	\$ -0-
LIHEAP Leverage Award	\$ -0-	\$111,399	\$ -0-
Total LIHEAP Spending:	\$21,696,593	\$20,385,000	\$17,581,000

#### NOTES:

- 1+2+3+Wx = Total Spending. Total Spending may not equal the listed "Source of Funds" as the Source list does not include prior year's carryover and other LIHEAP funds not listed.
- The source of funds are Federal LIHEAP dollars only State funds are not included.

Vermont Contact Person: Richard Moffi, Fuel Assistance Program Chief 802-769-6448

#### PETER SHUMLIN Governor



# State of Vermont OFFICE OF THE GOVERNOR

August 28, 2013

Kathleen Sebelius, Secretary
Department of Health and Human Services
Hubert H. Humphrey Bldg,
200 Independence Ave., S.W.
Washington, D.C. 20201

Dear Secretary Sebelius:

I certify that Dixie Henry, Deputy Secretary of the Agency of Human Services, is my formal designee for all transactions required to administer the Vermont Human Services Plan Budget for FY 2014, including each related block grant as listed below. I further certify that the Agency of Human Services of the State of Vermont has been designated to administer the grants or supervise their administration.

Application for Social Services Block Grant P.O. 97-35. Regulations: 45 CFR, Parts 96.1-96.112

Application for Preventative Health and Health Services Block Grant P.L. 97-35. Regulations: 45 CFR, Parts 96.1 - 96.112

Application for Maternal and Child Health Services Block Grant P.L. 97-35. Regulations: 45 CFR, Parts 96.1 - 96.112

Application for Prevention and Treatment of Substance Abuse Block Grant P.L. 97-35. Regulations: 45 CFR, Parts 96.1 - 96.112, and P.L. 97-35, Sec 1916(e)(i) through Sec 1916(c) (21), and P.L. 100-690 and P.L. 101-93 and Amendment to Title V created by ADAMHA Reorganization Act (P.L. 102-321)

Community Mental Health Block Grant P.L. 102-321 - Amendment to Title V created by ADAMHA Reorganization Act

Application for Low Income Home Energy Assistance Block Grant P.L. 97-35. Regulations: 45 CFR, Parts 96.1-96.112

State Plan for Child Welfare Services under Title IV, Part B of the Social Security Act, as amended. Regulations: 45 CFR, 220

Applications for Community Services Block Grant P.L. 970-35, the Omnibus Budget Reconciliation Act of 1981 Regulations: 45 CFR, parts 96.1-96.112

Community Food and Nutrition Program Section 68lA of the CSBG Act 45 CFR Part 92

State Plan on Aging under the Social Security Title P.L. 97-35 of the Older Americans Act, as amended Regulations III: 45 CFR, 1321-5, 45 CFR, 1321.7 - 1321.19

Low Income Home Energy Assistance Program - Sixteen assurances contained in Title XXVI, section 2605(b) of the Omnibus Budget Reconciliation Act of 1981 as amended.

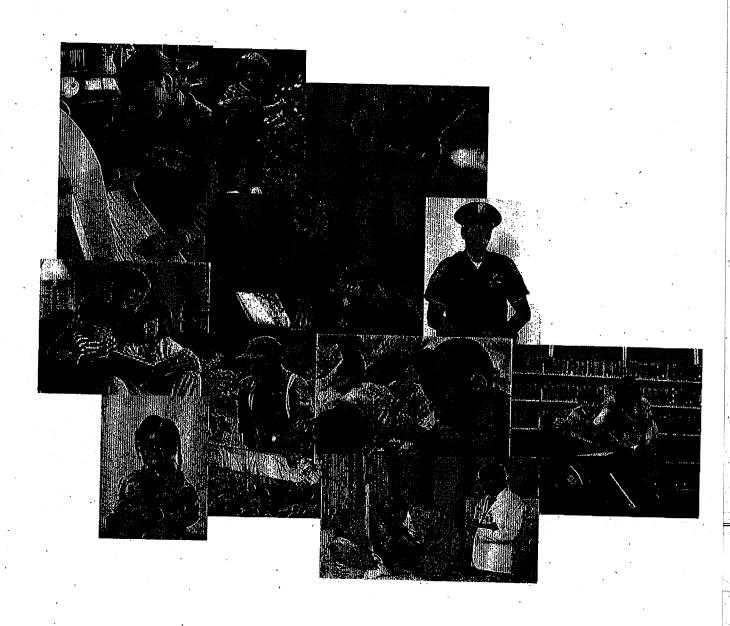
Peter Shumlin

Governor

Sincerely,

cc: Nick St. Anglo, Office of Community Services
Christine Chen, SAMHSA
Mary Ann Higgins, Administration for Children & Families
LouEllen Rice, SAMHSA
Yolanda Butler Ph.D., Office of Community Services
Peter C. Van Dyck, MS, MD, MPH, Maternal & Child Health Bureau
Seth Hassett, Office of Community Services

# <u>VERMONT</u> <u>HUMAN SERVICES PLAN</u> <u>FY - 2014</u>



FEDERAL ASSURANCES AND DOCUMENTATION BLOCK GRANT APPLICATIONS AND REPORT

# FEDERAL ASSURANCES & DOCUMENTATION

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# FEDERAL ASSURANCES & DOCUMENTATION

#### **PREFACE**

This document has been constructed for use with the FY 14 Vermont Human Services Plan and is an integral part of that plan. Preparation of the Vermont Human Services Plan is part of the National Planning Requirements Reform Project sponsored by the Council of State Planning Agencies (an affiliate of the National Governor's Association) and the U.S. Department of Health and Human Services.

This document includes the state plans for three categorical programs and seven block grants. It also reduces the state's cost for administration and management. In addition, it will reduce the amount of paperwork and review time at the federal level and "free up" time which can be spent on substantive program planning issues. The use of this new format simplifies state planning with no loss of federal and state accountability for programs.

This document is divided into three sections:

- Assurances common to most federal programs. Each assurance is made in reference to the appropriate federal regulation.
- Program Specific Assurances include federally mandated assurances that are unique to a particular categorical program or block grant and block grant applications.
- Program Specific Documentation includes all other information required by federal regulation that is not accounted for in the main narrative section of the plan or in the assurances.

It is intended that this document will be submitted once annually and resubmitted only as changes occur. Reference documents which are not submitted as Program Specific Documentation are on file at the central office of the Vermont Agency of Human Services and at the appropriate federal offices.

# FEDERAL ASSURANCES & DOCUMENTATION

# FY-14 Certification Regarding Drug-Free Workplace Requirements

OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

The undersigned (authorized official signing for the applicant organization) certifies that it will provide a drug-free workplace in accordance with 45 CFR Part 76 by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing a drug-free awareness program to inform employees about -
  - (l) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that as a condition of employment under the grant, the employee will -
  - (l) Abide by the terms of the statement; and
  - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- (e) Notifying the agency within ten days after receiving notice under subparagraph (d)(2), above, from an employee or otherwise receiving actual notice of such conviction;
- (f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), above, with respect to any employee who is so convicted -

# FEDERAL ASSURANCES & DOCUMENTATION

FY-14 Certification Regarding Drug-Free Workplace Requirements.

OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

- (l) Taking appropriate personnel action against such an employee, up to and including termination; or
- (2) Requiring such an employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f), above.

Vermont Agency of Human Services
Organization Name

<u>Dixie Henry</u>, <u>Deputy Secretary</u> Name and Title of Authorized Representative

Signature Tenny

Date

# FEDERAL ASSURANCES & DOCUMENTATION

**FY-14 Certification Regarding Lobbying** 

OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

- (l) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of the Congress in connection with the making of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans and cooperative agreements), and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite

## FEDERAL ASSURANCES & DOCUMENTATION

FY-14 Certification Regarding Lobbying OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

for making or entering into this transaction imposed by Section 1352, U.S. Code. "Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure."

Agency of Human Services
Organization Name

<u>Dixie Henry, Deputy Secretary</u> Name and Title of Authorized Representative

Signature Herry

Date

# FEDERAL ASSURANCES & DOCUMENTATION

FY-14 Certification Regarding Program Fraud Civil Remedies Act (PFCRA)

OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the statements herein are true, accurate and complete, and agrees to comply with the Public Health Service terms and conditions if an award is issued as a result of this application. Willful provision of false information is a criminal offense (Title 18, U.S. Code, Section 1001). Any person making any false, fictitious or fraudulent statement may, in addition to other remedies available to the Government, be subject to civil penalties under the Program Fraud Civil Remedies Act of 1986 (45 CFR Part 79).

Agency of Human Services
Organization Name

<u>Dixie Henry, Deputy Secretary</u> Name and Title of Authorized Representative

\_\_*||)))XU ||Heru* Signature

Date

#### FEDERAL ASSURANCES & DOCUMENTATION

# FY-14 Certification Regarding Debarment and Suspension

OMB Approval No. 0937-0189 Expiration Date: July 31, 2014

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a criminal judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transaction" (Appendix B to 45 CFR Part 76) in all lower tier covered transactions (i.e., transactions with sub grantees and/or contractors) and in all solicitations for lower tier covered transactions.

Agency of Human Services Organization Name	Date:	8/21/13	
<u>Dixie Henry, Deputy Secretary</u> Name and Title of Authorized Representative			
Dig Henry	·		
Signature	,		

### FEDERAL ASSURANCES & DOCUMENTATION

FY-14 Certification Regarding Environmental Tobacco Smoke OMB Approval No. 0937-0189 Expiration Date: July 3l, 2014

8/21/12

Date:

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing this certification, the offertory/contractor (for acquisitions) or applicant/grantee (for grants) certifies that the submitting organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The submitting organization agrees that it will require that the language of this certification be included in any sub awards which contain provisions for children's services and that all sub recipients shall certify accordingly.

Agency of Human Services
Organization Name

<u>Dixie Henry, Deputy Secretary</u> Name and Title of Authorized Representative

Signature

# GENERAL

A S S U R A N C E S

The Agency of Human Services agrees to maintain documentation to substantiate all of the following assurance items. Such documentation is available for federal review in order to determine adequacy and completeness.

Each assurance item is followed by an indication of the categorical grant programs to which it applies. A specific reference to the comparable planning requirements of each program is included for the convenience of state and federal reviewers.

# A. GENERAL ADMINISTRATION

#### 1. SINGLE STATE AGENCY

The Agency of Human Services is the single State Agency responsible for the administration or supervision of the administration of this plan.

State Plan on Aging under Title III of the Older Americans Act. Child Welfare Services Plan (IV-B)

# 2. COMPLIANCE WITH REQUIREMENTS

The Agency of Human Services agrees to administer the program in accordance with the applicable Act, the State Plan and all applicable regulations, policies and procedures established by the Commissioner or the Deputy Secretary, including the requirements at 34CFR Part 85 Subpart F, Drug free Workplace Act of 1988 and debarment and suspension, 34 CFR Part 85, Section 85.510 and certification regarding lobbying as required by Section 1352, Title 31 of the U.S. Code.

Social Services Block Grant

Preventive Health and Health Services Block Grant

Maternal and Child Health Service Block Grant

Prevention and Treatment of Substance Abuse Block Grant

Community Mental Health Services Block Grant

Community Services Block Grant

Low-Income Home Energy Assistance Block Grant

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B) - 1392.1 [220.1], 1392.3 [220.3]

Child Abuse and Neglect - 130.1 - 3(b)

State Plan for Developmental Disabilities Services and Facilities Construction program - 1386.30

Community Food and Nutrition Program

#### 3. COMPLIANCE BY LOCAL AGENCIES

Where the Agency of Human Services supervises the administration of the State Plan, there are adequate methods for assuring compliance with the requirements of the plan by local agencies and/or services contractors.

State Plan on Aging under Title III of the Older Americans Act

#### 4. EFFICIENT ADMINISTRATION

The Agency of Human Services utilizes such methods of administration as are necessary for the proper and efficient administration of the plan.

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

# 5. GENERAL ADMINISTRATION AND FISCAL REQUIREMENTS

The Agency of Human Services' uniform administrative requirements and cost principles are in compliance with the relevant provisions of 45 CFR Part 74, except where these provisions are superseded by statute or program regulations.

Social Services Block Grant

Preventive Health and Health Services Block Grant

Maternal and Child Health Service Block Grant

Prevention and Treatment of Substance Abuse Block Grant

Community Mental Health Services Block Grant

Community Services Block Grant

Low-Income Home Energy Assistance Block Grant

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1385.9

Community Food and Nutrition Program

#### 6. TRAINING OF STAFF

The Agency of Human Services provides a program of appropriate training for all classes of positions and volunteers, if applicable.

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

Child Abuse and Neglect - 1340.3-3(d)(4)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.30

#### 7. MANAGEMENT OF FUNDS

The Agency of Human Services maintains sufficient fiscal control and accounting procedures to assure proper disbursement of and accounting for federal funds paid under this plan.

Social Services Block Grant

Preventive Health and Health Services Block Grant

Maternal and Child Health Service Block Grant

Prevention and Treatment of Substance Abuse Block Grant

Community Mental Health Services Block Grant

Community Services Block Grant

Low-Income Home Energy Assistance Block Grant

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.32

## 8. SAFEGUARDING INFORMATION

The Agency of Human Services has implemented such regulations, standards, and procedures as are necessary to meet the requirements on safeguarding confidential information under relevant program regulations.

Social Services Block Grant

Preventive Health and Health Services Block Grant

Maternal and Child Health Service Block Grant

Prevention and Treatment of Substance Abuse Block Grant

Community Mental Health Services Block Grant

Community Services Block Grant

Low-Income Home Energy Assistance Block Grant

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

Child Abuse and Neglect - 1340.3-3(d)(5)

State Plan for developmental Disabilities Services and Facilities Construction Program

## 9. REPORTING REQUIREMENTS

The Agency of Human Services agrees to furnish such reports and evaluations to the Deputy Secretary or the Commissioner as may be specified.

Social Services Block Grant

Preventive Health and Health Services Block Grant

Maternal and Child Health Service Block Grant

Prevention and Treatment of Substance Abuse Block Grant

Community Mental Health Services Block Grant

Community Services Block Grant

Low-Income Home Energy Assistance Block Grant

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Service Plan (IV-B)

Child Abuse and Neglect - 1340.1-15

State Plan for Developmental Disabilities Services and Facilities Construction

Program - 1386.32

State Plan on Aging under Title III of the Older Americans Act

Community Food and Nutrition Program

#### 10. STANDARDS FOR SERVICE PROVIDERS

All providers of service under this plan operate fully in conformance with all applicable federal, state and local fire, health, safety and sanitation and other standards prescribed in law or regulations. The Agency of Human Services provides that where the state or local public jurisdictions require licensure for the provision of services, agencies providing such services shall be licensed.

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.30

#### 11. AMENDMENTS TO STATE PLAN

The State Plan provides for amendment whenever there is any material change in any applicable phase of State law, organization, policy, agency operations or other major conditions which affect the administration of this plan. Such amendments will be made in conformance with applicable regulations and submitted to the federal government before they are put into effect or at a reasonable time thereafter.

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

Child Abuse and Neglect - 1340.1-12

State Plan for Developmental Disabilities Services and Facilities Construction Program – 1385.9.

# B. EQUAL OPPORTUNITY AND CIVIL RIGHTS

# 1. EQUAL EMPLOYMENT OPPORTUNITY

The Agency of Human Services has an equal employment opportunity policy, implemented through an affirmative action plan for all aspects of personnel administration as specified in 45 CFR Part 86.

Community Services Block Grant (no specific reference in Block Grant regulations)

Preventive Health Block Grant (no specific reference in Block Grant regulations)

Prevention and Treatment of Substance Abuse Block Grant (no specific reference in Block Grant regulations)

Community Mental Health Services Block Grant (no specific reference in Block Grant regulations)

Maternal and Child Health Services Block Grant (no specific reference in Block Grant regulations)

Social Services Block Grant (no specific reference in Block Grant regulations)

Low-Income Home Energy Assistance Block Grant (no specific reference in Block Grant regulations)

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1385.9

# 2. NON-DISCRIMINATION ON THE BASIS OF HANDICAP

All recipients of funds from the Agency of Human Services are required to operate each program or activity so that, when viewed in its entirety, the program or activity is readily accessible to and usable by a handicapped person. Where structural changes are required, these changes shall be made as quickly as possible in keeping with 45 CFR 84 and P.L. 97-45.

Community Services Block Grant (no specific reference in Block Grant regulations)

Preventive Health Block Grant (no specific reference in Block Grant regulations)

Prevention and Treatment of Substance Abuse Block Grant (no specific reference in Block Grant regulations)

Community Mental Health Services Block Grant (no specific reference in Block Grant regulations)

Maternal and Child Health Services Block Grant (no specific reference in Block Grant regulations)

Social Services Block Grant (no specific reference in Block Grant regulations)

Low-Income Home Energy Assistance Block Grant (no specific reference in Block Grant regulations)

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

Child Abuse and Neglect -1340.1-10

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1385.9

## 3. CIVIL RIGHTS COMPLIANCE

The Agency of Human Services has developed a system to ensure that benefits and services available under the State Plan are provided in a non-discriminatory manner as required by Title VI of the Civil Rights Act of 1964 as amended.

Community Services Block Grant (no specific reference in Block Grant regulations)
Preventive Health Block Grant (no specific reference in Block Grant regulations)

Prevention and Treatment of Substance Abuse Block Grant (no specific reference in Block Grant regulations)

Community Mental Health Services Block Grant (no specific reference in Block Grant regulations)

Maternal and Child Health Services Block Grant (no specific reference in Block Grant regulations)

Social Services Block Grant (no specific reference in Block Grant regulations)

Low-Income Home Energy Assistance Block Grant (no specific reference in Block Grant regulations)

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

Child Abuse and Neglect -1340.1-9

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1385.9

# C. PROVISIONS OF SERVICES

#### 1. WRITTEN POLICIES AND PROCEDURES

With regard to the provision of any services included in this plan to individuals or groups of individuals, the Agency of Human Services has established in writing and will maintain policies and procedures for the provision of such services. These policies shall include a description of the scope and nature of each service and the procedures and conditions under which each such services is to be provided, including criteria for establishment of fee schedule or contributions, if applicable.

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.34

#### 2. NEEDS ASSESSMENT

The Agency of Human Services has a reasonable and objective method for determining the needs of all eligible residents of all geographic areas in the State and for allocating resources to meet those needs.

State Plan on Aging under Title III of the Older Americans Act

Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386,34

#### 3. PRIORITIES

The Agency of Human Services has a reasonable and objective method for establishing priorities for service and such methods are in compliance with applicable statutes.

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.34

#### 4. ELIGIBILITY

The activities covered by this State Plan serve only those individuals and groups eligible under the provisions of the applicable statute.

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program - 1386.34

#### 5. RESIDENCY

No requirements as to duration of residence or citizenship will be imposed as a condition of participation in Vermont's program for the provision of services.

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

State Plan for Developmental Disabilities Services and Facilities Construction Program

#### 6. COORDINATION AND MAXIMUM UTILIZATION OF SERVICES

The Agency of Human Services has entered into cooperative arrangements with, and utilizes the services and facilities of, other appropriate public and private agencies whose activities further the purposes of the program covered by this plan or which are specifically referenced in the applicable statute. Such coordination shall maximize utilization of public and private resources.

State Plan on Aging under Title III of the Older Americans Act Child Welfare Services Plan (IV-B)

Child Abuse and Neglect - 1340.3-3(d)(6)

State Plan for Developmental Disabilities Services and Facilities Construction Program 1386.43(ii), 1386.46(b), 1386.50, 1386.48(b), 1386.30

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AND THE AGE DISCRIMINATION ACT OF 1975.

The applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the Department of Health and Human Services.

## THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

- A. Title VI of the Civil Rights Act of 1964 (P.L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R., Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
- A. Section 504 of the Rehabilitation Act of 1973 (P.L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified handicapped individual in the United States shall, solely by reason of his handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
- A. Title IX of the Educational Amendments of 1972 (P.L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant received Federal financial assistance from the Department.

A. The Age Discrimination Act of 1975 (P.L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The person or persons whose signature(s) appear(s) below is/are authorized to sign this assurance, and commit the Applicant to the above provisions.

Date 8/2///3

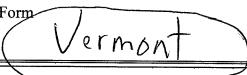
Signature

Dixie Henry, Deputy Secretary Agency of Human Services

103 South Main Street
Waterbury, VT 05676

The Assurance of Compliance Form HHS 690 should be filed with the Department of Health and Human Services Office of Civil Rights at the following address:

Office of Civil Rights
Office of Programs Operations
HHS North, Room 5626
330 Independence Avenue, SW
Washington, DC 20201



OMB Clearance No.: 0970-0060 Expiration Date: 10/31/2014

# LOW INCOME HOME ENERGY ASSISTANCE PROGRAM LIHEAP HOUSEHOLD REPORT-LONG FORM

#### **Grantee Information**

Grantee Name:VERMONT	FFY2013
Contact Person: Richard Moffi	Phone: 802-769-6448
Email Address: richard.moffi@state.vt.us	

# Instructions

The 50 States, District of Columbia, and the Commonwealth of Puerto Rico are required to use the LIHEAP Household Report-Long Form in providing household counts for the designated Federal Fiscal Year. The Report consists of the following six sections that are to include unduplicated household counts for both LIHEAP assisted and LIHEAP applicant households.

- I. Number of Assisted Households
- II. Number of Assited Households by Poverty Interval
- III. Number of Assisted hOuseholds by Vulnerable Population
- IV. Number of Applicant Households
- V. Number of Applicant Households by Poverty Interval
- VI. Number of Assisted Households by Young Child Age Category

Except for Section VI, the household counts for LIHEAP assisted and applicant households are required under the LIHEAP statute. Section VI is optional. If LIHEAP funds are used for any other type of service not listed in the sections below, describe the service and the total number of households assisted with that service in the Notes Section.

The required data for LIHEAP assisted households for each State are included in the Department's LIHEAP annual Report to Congress. The required data are also used in measuring LIHEAP targeting performance under the Government Performance and Results Act (GPRA) of 1993, as amended by the GPRA Modernization Act of 2010. As the reported data are aggregated, the information in this report is not considered to be confidential.

Click HERE to read the expanded Household Report - Long Form Instructions.

Do the data below include estimated figures?

If YES, select the appropriate box in column A of Section I and Section IV for each type of assistance that has at least one estimated data entry.

## I. Number of Assisted Households

Number of assisted households		
Type of LIHEAP assistance	A. Select if estimated data	B. Total Number of Households
1. Heating		34,199
2. Cooling		0
3.Crisis	<u> </u>	
a. Winter/Year Round		6,693
b. Summer		0
c. Emergency Furnace Repair & Replacement		0
d.		0
e.		0

4. Weatherization	0
5. Any type of LIHEAP assistance	35,378

II. Number of Assisted Households by Poverty Interval

HHS Poverty Gudelines for Calendar \	ear ear							
Type of LIHEAP assistance	A. Under 75% poverty	B. 75%-100% poverty	C. 101%-125% poverty	D.126%-150% poverty	E. Over 150% poverty			
1. Heating	8,276	10,332	6,808	4,839	3,944			
2. Cooling	0	0	0	0	. 0			
3.Crisis	3.Crisis							
a. Winter/Year Round	1,540	1,922	1,267	900	1,064			
b. Summer	0	0	0	0	0			
c. Emergency Furnace Repair & Replacement	0	0	0	0	0			
d.	0	0	0	0	0			
e.	0	0	0	. 0	0			
4. Weatherization	0	0	0	0	0			

III. Number of Assisted Households by Vulnerable Population

At least one households member who is a member of one the following target groups							
Type of LIHEAP assistance	A. 60 years or older (elderly)	B. Disabled	C. Age 5 years or under (young child)	D. Elderly, disabled, or young child			
1. Heating	13,309	11,877	7,474	30,727			
2. Cooling	0	0	0	0			
3.Crisis							
a. Winter/Year Round 1,444 1,596 2,924 5,487							
b. Summer	0	0	0	0			
c. Emergency Furnace Repair & Replacement	0	0	0	0			
d.	d. 0 0 0 0						
е.	0	0	0	0			
4. Weatherization	0	0	0	0			
5. Any type of LIHEAP assistance	13,563	12,157	7,989	31,693			

IV. Number of Applicant Households

Number of applicant households		
Type of LIHEAP assistance	A. Select if estimated data	B. Total Number of Households
1. Heating		44,449
2. Cooling		0
3.Crisis	\\	1
a. Winter/Year Round		7,576
b. Summer		0
c. Emergency Furnace Repair & Replacement		0
d.		0
е.		0
4. Weatherization		0

V. Number of Applicant Households by Poverty Interval

HHS Poverty Gudelines for Calendar Year						
Type of LIHEAP assistance	A. Under 75% poverty	B. 75%-100% poverty	C. 101%-125% poverty	D.126%-150% poverty	E. Over 150% poverty	F. Income data unavailable
1. Heating	10,756	13,430	8,848	6,289		
2. Cooling	0	0	0	0	0	0
3.Crisis						
a. Winter/Year Round	1,753	2,190	1,442	1,025	1,166	
b. Summer	0	0	0	0	0	
c. Emergency Furnace Repair & Replacement	0	0	0	0	0	0
d.	0	0	0	0	0	0
е.	0	0	0	0	0	0
4. Weatherization	0	0	0	0	0	0

VI.Number of Assisted Households by Young Child Age Category (Optional)

At least one member who is	ing Ciliu Age Category		
Type of LIHEAP assistance	A. Age 2 years or under	B. Age 3 years through 5 years	
1. Heating		0 0	
2. Cooling		0 0	
3.Crisis			
a. Winter/Year Round		0 0	
b. Summer		0 0	
c. Emergency Furnace Repair & Replacement		0 0	
d.		0 0	
е.		0 0	
4. Weatherization		0 0	

# **NOTES**

#### Notes

Section 1: Unduplicated household count of all assistance was 35,378. Heating assistance (34,199) plus crisis assistance (1,179 out of 6,693). Section I: An ADDITIONAL 21,639 unduplicated households received a "nominal" annual LIHEAP "heating" benefit of either \$3 or \$5 to leverage additional SNAP benefits. Section II: Winter crisis numbers are pro-rated using the percent distribution for heating assistance because the data for denied applications is not available based on poverty intervals. Section V: All numbers are pro-rated using the percent distribution for heating assistance and crisis assistance because the data for denied applications is not available based on poverty intervals.

# Certification

Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalities. (U.S. Code, Title 18, Section 1001)		
a. Name of Authorized Official: Richard Moffi	d. Telephone:	
b. Title of Authorized Official: LIHEAP Coordinator	e. Email address:	
c. Signature of Authorized Official:	f. Date Submitted:11/17/2013	

OMB Clearance No.: 0970-0106 Expiration Date: 9/30/2014

#### LOW INCOME HOME ENERGY ASSISTANCE PROGRAM CARRYOVER AND REALLOTMENT REPORT

Grantee:VERMONT Date:09/24/2013
For Funds Appropriated in FY:2013

This form must be submitted by August 1st. If you later find that your estimates are not accurate, submit a revised report as soon as possible. The next fiscal year's grant award will not be issued to you until the Carryover and Reallotment Report for the current fiscal year is received by HHS. Section 2607(b)(2)(B) of the LIHEAP statute requires that at least 90% of funds available must be obligated in the year in which they are appropriated. Not more than 10 percent of the amount payable for a fiscal year may be held for obligation in the succeeding fiscal year.

**Carryover and Reallotment** 

Current year amount payable (regular block grant funds, contingency funds, and oil overcharge funds)     Descent of amount payable	\$18,229,732 \$1,822,973
	\$1,822,973
3) Projected unobligated balance	
Carryover amount (Funds to be carried over for obligation in the following Federal fiscal year.)	
Reallotment amount (Funds that exceed the 10% carryover amount and are to be returned the Federal government.)	
4) If you report a carryover amount greater than \$0, please provide the following:	
a) briefly state reasons that these funds will not be used in the Federal fiscal year for which they were allotted	
Last block grant allocation of \$591,060 was received after Vermont's LIHEAP program had closed.	
b) briefly describe the types of assistance to be provided with the amount held available for the following Federal fisc	al year:
Seasonal fuel assistance benefits in FFY2014	•

#### Certification

Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalities. (U.S. Code, Title 18, Section 1001)		
a. Name of Authorized Official:	d. Telephone: (802) 871- 3255 Ext.	
b. Title of Authorized Official:	e. Email address: maryann.alligood@state.vt.us	
c. Signature of Authorized Official:	f. Date Submitted:09/24/2013	