

DETAILED MODEL PLAN (LIHEAP)

Program Name: Low Income Home Energy Assistance

Grantee Name: OGLALA SIOUX TRIBE OF PINE RIDGE INDIAN RESERVATION

Report Name: DETAILED MODEL PLAN (LIHEAP)

Report Period: 10/01/2025 to 09/30/2026

Report Status: Submission Accepted by CO

Report Sections

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Mandatory Grant Application SF-424

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2027

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN SF - 424 - MANDATORY

| | | | |
|---------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * 1.a. Type of Submission: <input checked="" type="radio"/> Plan | * 1.b. Frequency: <input checked="" type="radio"/> Annual | * 1.c. Consolidated Application/Plan/Funding Request? Explanation: | * 1.d. Version: <input checked="" type="radio"/> Initial <input type="radio"/> Resubmission <input type="radio"/> Revision <input type="radio"/> Update |
| | | 2. Date Received: | State Use Only: |
| | | 3. Applicant Identifier: | 4a. Unique Entity Identifier (UEI) X6G6W4LBZKD5 |
| | | 4b. Federal Award Identifier: X6G6W4LBZKD5 | 5. Date Received By State: |
| | | 6. State Application Identifier: | |

7. APPLICANT INFORMATION

* a. Legal Name: (OST) Low-Income Home Energy Assistance Program

* b. Address:

| | | | |
|-------------|---------------|----------------------|----------------------|
| * Street 1: | P.O. BOX 1051 | Street 2: | 203 Veterans Avenue |
| * City: | PINE RIDGE | County: | Oglala Lakota County |
| * State: | SD | Province: | |
| * Country: | United States | * Zip / Postal Code: | 57770 - |

c. Organizational Unit:

| | |
|---------------------------------------------|--------------------------|
| Department Name: Health & Human Services | Division Name: Energy |
|---------------------------------------------|--------------------------|

d. Name and contact information of person to be contacted on matters involving this application: (person will be listed on Notice of Funding Awards and on the U.S. Department of Health and Human Services' LIHEAP contact list webpage)

| | |
|---------------------------------------|---------------------------------------------|
| * First Name: Roylene | * Last Name: Bad Yellow Hair |
| Title: Director | Organizational Affiliation: Oglala Sioux |
| * Telephone Number: (605) 867-5169 | * Fax Number (605) 867-1550 |

* Email:
roylene.badyellowhair@oglala.org

* 8. TYPE OF APPLICANT:

I: Indian/Native American Tribal Government (Federally Recognized)

* a. Is the applicant a Tribal Consortium: Yes No

* b. If yes please attach at least one the following documentation:

| | | |
|----------------------------|------------------------------------------------|-------------------------------------------|
| | Catalog of Federal Domestic Assistance Number: | CFDA Title: |
| 9. CFDA Numbers and Titles | 93.568 | Low-Income Home Energy Assistance Program |

10. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:

Low Income Home Energy Assistance Program

11. AREAS AFFECTED BY FUNDING:

Statewide

12. CONGRESSIONAL DISTRICTS OF APPLICANT:

South Dakota

13. FUNDING PERIOD:

| | |
|------------------------------|----------------------------|
| a. Start Date: 10/01/2024 | b. End Date: 09/30/2025 |
|------------------------------|----------------------------|

* 14. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?

a. This submission was made available to the State under Executive Order 12372

| | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|
| Process for review on: | |
| <p>b. Program is subject to E.O. 12372 but has not been selected by State for review.</p> <p>c. Program is not covered by E.O. 12372.</p> | |
| *15. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? | |
| <input type="radio"/> YES <input checked="" type="radio"/> NO | |
| If Yes, explain: | |
| <p>16. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</p> <p>**I Agree <input checked="" type="checkbox"/></p> <p>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</p> | |
| 17a. Typed or Printed Name and Title of Authorized Certifying Official Roylene Bad Yellow Hair | 17c. Telephone (area code, number and extension) |
| 17b. Signature of Authorized Certifying Official  | 17d. Email Address roylene.badyellowhair@oglala.org |
| 17e. Date Report Submitted (Month, Day, Year) 09/15/2025 | |

Section 1 - Program Components

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2027

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13) Use of this model plan is optional. However, the information requested is required in order to receive a Low Income Home Energy Assistance Program (LIHEAP) grant. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Section 1 Program Components

Program Components, 2605(a), 2605(b)(1) - Assurance 1, 2605(c)(1)(C)

| 1.1 Check which components you will operate under the LIHEAP program. (Note: You must provide information for each component designated here as requested elsewhere in this plan.) | | Dates of Operation | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------|------------|
| | | Start Date | End Date |
| <input checked="" type="checkbox"/> | Heating assistance | 10/01/2025 | 09/30/2026 |
| <input checked="" type="checkbox"/> | Cooling assistance | 10/01/2025 | 09/30/2026 |
| <input type="checkbox"/> | Summer crisis assistance | | |
| <input type="checkbox"/> | Winter crisis assistance | | |
| <input checked="" type="checkbox"/> | Year-round crisis assistance | 10/01/2025 | 09/30/2026 |
| <input checked="" type="checkbox"/> | Weatherization assistance | 10/01/2025 | 09/30/2026 |

Provide further explanation for the dates of operation, if necessary

Heating and cooling seasons overlap, and South Dakota weather tends to be unpredictable. The Program can adapt and accommodate the various fluctuating temperatures and conditions in South Dakota.

Estimated Funding Allocation, 2604(C), 2605(k)(1), 2605(b)(9), 2605(b)(16) - Assurances 9 and 16

| 1.2 Estimate what amount of available LIHEAP funds will be used for each component that you will operate: The total of all percentages must add up to 100%. | Percentage (%) | Prior year totals |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------|
| Heating assistance | 40.00% | 40.00% |
| Cooling assistance | 10.00% | 10.00% |
| Summer crisis assistance | 0.00% | 10.00% |
| Winter crisis assistance | 0.00% | 0.00% |
| Year-round crisis assistance | 4.00% | 0.00% |
| Weatherization assistance | 15.00% | 15.00% |
| Carryover to the following federal fiscal year | 8.00% | 10.00% |
| Administrative and planning costs | 10.00% | 10.00% |
| Services to reduce home energy needs including needs assessment (Assurance 16) | 0.00% | 5.00% |
| Used to develop and implement leveraging activities | 0.00% | 0.00% |
| TOTAL | 87.00% | 100.00% |

Tribal grant recipients: direct-grant tribes, tribal organizations, or territories with allotments of \$20,000 or less may use for planning and administration up to 20% of the funds payable. Grant recipients that are direct grant tribes, tribal organizations, or territories with allotments over \$20,000 may use for planning and administration purposes up to 20% of the first \$20,000 (or \$4,000) plus 10% of the funds payable that exceeds \$20,000. Any administrative costs in excess of these limits must be paid from non-federal sources.

Alternate Use of Crisis Assistance Funds, 2605(c)(1)(C)

1.3 The funds reserved for winter crisis assistance that have not been expended by March 15 will be reprogrammed to:

| | | | |
|-------------------------------------|---------------------------|-------------------------------------|----------------------------------------------|
| <input checked="" type="checkbox"/> | Heating assistance | <input checked="" type="checkbox"/> | Cooling assistance |
| <input checked="" type="checkbox"/> | Weatherization assistance | <input checked="" type="checkbox"/> | Other (specify): Crisis and Life Threatening |

Categorical Eligibility, 2605(b)(2)(A) - Assurance 2, 2605(c)(1)(A), 2605(b)(8A) - Assurance 8

1.4 Do you consider households categorically eligible if at least one household member receives at least one of the following categories of benefits in the left column below? Yes No

If you answered "Yes" to question 1.4, you must complete the table below and answer questions 1.5 and 1.6.

| | Heating | Cooling | Crisis | Weatherization |
|--------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|
| TANF | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| SSI | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| SNAP | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| Means-tested Veterans Programs | <input checked="" type="radio"/> Yes <input type="radio"/> No |

1.4a. Provide your definition of categorical eligibility. Please explain how households are categorically eligible (i.e., do all household members need to receive the benefits or just one member, is there a data exchange in place?) and how categorical eligibility streamlines the LIHEAP application process.

Our eligiblity is based on our matrix income guidelines.

1.5 Do you automatically enroll households without a direct annual application? Yes No

If Yes, explain:

1.6 How do you ensure there is no difference in the treatment of categorically eligible households from those not receiving other public assistance when determining eligibility and benefit amounts?

SNAP Nominal Payments

1.7a Do you allocate LIHEAP funds toward a nominal payment for SNAP households? Yes No

If you answered "Yes" to question 1.7a, you must provide a response to questions 1.7b, 1.7c, and 1.7d.

1.7b Amount of Nominal Assistance: \$0.00

1.7c Frequency of Assistance

| | |
|--------------------------|-----------------------|
| <input type="checkbox"/> | Once Per Year |
| <input type="checkbox"/> | Once every five years |
| <input type="checkbox"/> | Other - Describe: |

1.7d How do you confirm that the household receiving a nominal payment has an energy cost or need?

Determination of Eligibility - Countable Income

1.8. In determining a household's income eligibility for LIHEAP, do you use gross income or net income?

| | |
|-------------------------------------|------------------|
| <input checked="" type="checkbox"/> | Gross Income |
| <input type="checkbox"/> | Net Income |
| <input type="checkbox"/> | Other - Describe |

1.9. Select all the applicable forms of countable income used to determine a household's income eligibility for LIHEAP

| | |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | Wages |
| <input checked="" type="checkbox"/> | Self - Employment Income |
| <input type="checkbox"/> | Contract Income |

| | |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | |
| <input checked="" type="checkbox"/> | Payments from mortgage or Sales Contracts |
| <input checked="" type="checkbox"/> | Unemployment insurance |
| <input checked="" type="checkbox"/> | Strike Pay |
| <input checked="" type="checkbox"/> | Social Security Administration (SSA) benefits |
| | <input type="checkbox"/> Including MediCare deduction <input checked="" type="checkbox"/> Excluding MediCare deduction |
| <input checked="" type="checkbox"/> | Supplemental Security Income (SSI) |
| <input checked="" type="checkbox"/> | Retirement / pension benefits |
| <input checked="" type="checkbox"/> | General Assistance benefits |
| <input checked="" type="checkbox"/> | Temporary Assistance for Needy Families (TANF) benefits |
| <input type="checkbox"/> | Loans that need to be repaid |
| <input type="checkbox"/> | Cash gifts |
| <input type="checkbox"/> | Savings account balance |
| <input type="checkbox"/> | One-time lump-sum payments, such as rebates/credits, winnings from lotteries, refund deposits, etc. |
| <input type="checkbox"/> | Jury duty compensation |
| <input checked="" type="checkbox"/> | Rental income |
| <input checked="" type="checkbox"/> | Income from employment through Workforce Investment Act (WIA) |
| <input checked="" type="checkbox"/> | Income from work study programs |
| <input checked="" type="checkbox"/> | Alimony |
| <input checked="" type="checkbox"/> | Child support |
| <input checked="" type="checkbox"/> | Interest, dividends, or royalties |
| <input checked="" type="checkbox"/> | Commissions |
| <input checked="" type="checkbox"/> | Legal settlements |
| <input type="checkbox"/> | Insurance payments made directly to the insured |
| <input type="checkbox"/> | Insurance payments made specifically for the repayment of a bill, debt, or estimate |
| <input checked="" type="checkbox"/> | Veterans Administration (VA) benefits |
| <input type="checkbox"/> | Earned income of a child under the age of 18 |
| <input type="checkbox"/> | Balance of retirement, pension, or annuity accounts where funds cannot be withdrawn without a penalty. |
| <input type="checkbox"/> | Income tax refunds |
| <input checked="" type="checkbox"/> | Stipends from senior companion programs, such as VISTA |

| | |
|--------------------------|------------------------------------------------------------------------------|
| <input type="checkbox"/> | Funds received by household for the care of a foster child |
| <input type="checkbox"/> | Ameri-Corp Program payments for living allowances, earnings, and in-kind aid |
| <input type="checkbox"/> | Reimbursements (for mileage, gas, lodging, meals, etc.) |
| <input type="checkbox"/> | Other |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

1.10 Do you have an online application process Yes No

1.10a If yes, describe the type of online application (Select all boxes that apply)

| | |
|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | A PDF version of the application is available online and can be downloaded, filled out and mailed in for processing. |
| <input type="checkbox"/> | A state-wide online application that allows a customer to complete data entry and submit an application electronically for processing. |
| <input type="checkbox"/> | One or more locally available online applications that allows a customer to complete data entry and submit an application electronically for processing. |
| <input type="checkbox"/> | Online application that is also mobile friendly |
| <input type="checkbox"/> | Other, please describe |

Please include a link(s) to a statewide application, if available:

1.10b Can all program components be applied for online? Yes No

If no, explain which components can and cannot be applied for online.

All components are applied for by a paper application and are distributed across the nine districts on the Pine Ridge Reservation. There are nine districts within the Pine Ridge boundaries that have a cap office that serves the community. The applications are available for pick up and can be emailed or faxed to the energy office.

1.11 Do you have a process for conducting and completing applications by phone Yes No

1.12 Do you or any of your subrecipients require in person appointments in order to apply Yes No

If yes, please provide more information regarding why in-person appointments are required and in what circumstances they are required.

1.13 How can applicants submit documentation for verification? Select all that apply:

| | |
|-------------------------------------|------------------------|
| <input checked="" type="checkbox"/> | In-person |
| <input checked="" type="checkbox"/> | Mail |
| <input checked="" type="checkbox"/> | Email |
| <input type="checkbox"/> | Portal application |
| <input checked="" type="checkbox"/> | Other, please describe |
| | Fax |

Hidden for Section 1

Section 2 - HEATING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2027

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 2 - Heating Assistance

Eligibility, 2605(b)(2) - Assurance 2

2.1 Designate the income eligibility threshold used for the heating component:

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

2.2 Do you have additional eligibility requirements for Heating Assistance? Yes No

2.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test? Yes No

If yes, describe: Do you have additional/differing eligibility policies for:

Renters? Yes No

If yes, describe:

Renters Living in subsidized housing? Yes No

If yes, describe:

Renters with utilities included in the rent? Yes No

If yes, describe:

Local eligible members have complex apartments they are renting that include the heating and cooling all in the rental lease agreement. Which is asked of the recipient when application is submitted.

Do you give priority in eligibility to:

Older Adults (60 years or older)? Yes No

If yes, describe:

The elderly will be placed at the top of the list for assistance once the application is completed due to life threatening situations.

Individuals with a disability? Yes No

If yes, describe:

Disability family members with completed application will be places as a priority when needing assistance due to life threatening situations.

Young children? Yes No

If yes, describe:

Families with young children in the home have priority in processing the application for assistance due to life threatening situations.

Households with high energy burdens? Yes No

If yes, describe:

Families who are below the 30% income guideline of the SMI are given a benefit amount higher than what 60% benefit award to

levitate the burden.

Other? Veterans

Yes No

If yes, describe:

Veterans that applied will also be priority once the application is completed due to life threatening situations.

Explanations of policies for each "yes" checked above:

Each priority will be based on the situation and the date of the completed application submitted.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

2.4 Describe how you prioritize the provision of heating assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

The benefit matrix is our tool to access the most vulnerable households. Household size, household inventory, and income are factored in to determine the benefits for the base month amount for assistance requested. The base amount for those families under the 30% (SMI), varies from the base amount for those families that exceed the 60%. An additional \$ 20 per (30%), and (\$10) (60%) per household member in excess after four people are awarded. This allows additional heating assistance for the largest households, with the least income.

2.5 Check the variables you use to determine your benefit levels. (Check all that apply):

- Income
- Family (household) size
- Home energy cost or need:
 - Fuel type
 - Climate/region
 - Individual bill
 - Dwelling type
- Energy burden (% of income spent on home energy)
- Energy need
- Other - Describe:

Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

2.6 Describe estimated benefit levels for the fiscal year for which this plan applies. *Please note: the maximum and minimum benefits must be shown in the payment matrix.*

Minimum Benefit

\$300

Maximum Benefit

\$600

2.7 Do you provide in-kind (e.g., blankets, space heaters) and/or other forms of benefits? Yes No

If yes, describe.

Heaters are distributed for the heating component during cold weather. Weatherization supplies are also available such as plastic for windows, lathes, caulk, caulking gun, heat tape, and weather stripping.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 3 - COOLING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 3 - Cooling Assistance

Eligibility, 2605(c)(1)(A), 2605 (b)(2) - Assurance 2

3.1 Designate The income eligibility threshold used for the Cooling component:

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

3.2 Do you have additional eligibility requirements for Cooling assistance? Yes No

3.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test? Yes No

If yes, describe:

Do you have additional/differing eligibility policies for:

Renters? Yes No

If yes, describe:

Renters Living in subsidized housing? Yes No

If yes, describe:

Renters with utilities included in the rent? Yes No

If yes, describe:

Do you give priority in eligibility to:

Older Adults (60 years or older)? Yes No

If yes, describe:

The elderly will be placed on the top of the list for assistance once the application is completed due to life-threatening situations.

Individuals with a disability? Yes No

If yes, describe:

Disability family members with completed applications will be placed as a priority when needing assistance due to life-threatening situations.

Young children? Yes No

If yes, describe:

Families with young children in the home have priority in processing the application for assistance due to life-threatening situations.

Households with high energy burdens? Yes No

If yes, describe:

Families who are below the 30% income guideline of the SMI are given a benefit amount higher than what 60% benefit award to levitate the burden.

Other? Veterans Yes No

If yes, describe:

Veterans that applied will also be priority once the application is completed due to life

threatening situations.

Explanations of policies for each "yes" checked above:

The application priority are submitted for process once a completed application is on file and is process in the priority listing.

3.4 Describe how you prioritize the provision of cooling assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

The benefit matrix is our tool to access the most vulnerable households. Household size, household inventory, and income are factored in to determine the benefits for the base month amount for assistance requested. The base amount for those families under the 30% (SMI), varies from the base amount for those families that exceed the 60%. An additional \$ 20 per (30%), and (\$10) (60%) per household member in excess after four people are awarded. The is allows additional heating assistance for the largest households, with the least income.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.5 Check the variables you use to determine your benefit levels. (Check all that apply):

| | |
|--------------------------------------------------------------------------------------|--|
| <input checked="" type="checkbox"/> Income | |
| <input checked="" type="checkbox"/> Family (household) size | |
| <input checked="" type="checkbox"/> Home energy cost or need: | |
| <input checked="" type="checkbox"/> Fuel type | |
| <input type="checkbox"/> Climate/region | |
| <input type="checkbox"/> Individual bill | |
| <input type="checkbox"/> Dwelling type | |
| <input checked="" type="checkbox"/> Energy burden (% of income spent on home energy) | |
| <input checked="" type="checkbox"/> Energy need | |
| <input type="checkbox"/> Other - Describe: | |

Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.6 Describe estimated benefit levels for the fiscal year for which this plan applies. Please note: the maximum and minimum benefits must be shown in the payment matrix.

| | | | |
|-----------------|-------|-----------------|-------|
| Minimum Benefit | \$300 | Maximum Benefit | \$600 |
|-----------------|-------|-----------------|-------|

3.7 Do you provide in-kind (e.g., fans, air conditioners) and/or other forms of benefits? Yes No

If yes, describe.

For cooling components air conditioners and fans are distributed during the summer season.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 4 - CRISIS ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

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LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 4: CRISIS ASSISTANCE

Eligibility - 2604(c), 2605(c)(1)(A)

4.1 Designate the income eligibility threshold used for the crisis component

| Add | Household size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

4.2 Provide your LIHEAP program's definition for determining a crisis. If you administer multiple crisis assistance programs (winter, summer, and/or year-round), Include all program definitions.

A certain amount of the LIHEAP funds allocated to each tribe may be used to assist households in an energy crisis. To be considered for an energy crisis, a household must have received a shut-off notice from their electrical provider or propane supplier or have an empty or disconnect notice. Also, the household must have exhausted all other regular energy benefits available to their household.

4.3 What constitutes a life-threatening crisis?

Any households that are without electricity, wood, or propane, especially those that are elderly, disabled, children under 5, have a high energy burden and/or have family members with fatal and life-threatening medical condistions.

Crisis Requirement, 2604(c)

4.4 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households? 48Hours

4.5 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households in life-threatening situations? 18Hours

Crisis Eligibility, 2605(c)(1)(A)

| | Winter Crisis | Summer Crisis | Year-Round Crisis |
|----------------------------------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| 4.6 Do you have additional eligibility requirements for Crisis Assistance? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

4.7 Check the appropriate boxes below to indicate type(s) of assistance provided

0

| | | | |
|--------------------------------|--------------------------|--------------------------|--------------------------|
| Do you require an Assets test? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|--------------------------------|--------------------------|--------------------------|--------------------------|

Do you give priority in eligibility to:

| | | | |
|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Older Adults (60 years or older)? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Individuals with a disability? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Young Children? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Households with high energy burdens? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Other (Specify): Veterans | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

In Order to receive crisis assistance:

| | | | |
|-------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Must the household have received a shut-off notice or have a near empty tank? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Must the household have been shut off or have an empty tank? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Must the household have exhausted their regular heating benefit? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

| | | | |
|------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Must renters with heating costs included in their rent have received an eviction notice? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Must heating/cooling be medically necessary? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Must the household have non-working heating or cooling equipment? | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Other (Specify): Veterans | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Do you have additional/differing eligibility policies for: | | | |
| Renters? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Renters living in subsidized housing? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Renters with utilities included in the rent? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Explanations of policies for each "yes" checked above:

HEATING, COOLING AND CRISIS ASSISTANCE:

Households must meet one of the following conditions for the period of year-round in order to qualify for Heating, Cooling and Crisis:

1. The Crisis Application form is available to those LIHEAP Crisis Eligible Household, including those that met the previous year's eligibility.
2. Household must meet the eligibility of the SMI for the State of South Dakota. (Each Year varies from year to year) The OST LIHEAP reserves the right to utilize this method of determining eligibility. It is protocol that the 30% and 60% under the SMI are prioritized for assistance as well as the priorities for assisting elders, disabled, household holds with children under the age of six and households with a high energy burden and households with severe, often life-threatening medical problems.
3. The heating, cooling and crisis program assist clients whom qualify for the program with a ceiling amount cited 30% TO 60% FPL/ in approved Model Plan.
4. Crisis determination and approval are acted upon in 18-48 hours depending on the severity of the situation and the weather conditions.
5. The Processing of a Guarantee to Pay for the tribal members household to resume heat, or cooling of their home is processed and coincides with all applicable OST Tribal Administrative Management Manuals Policies and Procedures.
6. Applicants Qualify to receive assistance one time for Heating Crisis (1) one time, Cooling Crisis (1) one time and Weatherization (1) one time per ceiling amounts of household need(s).

NOTE: HEATING, COOLING, CRISIS AND WEATHERIZATION ASSISTANCE OVER LAP AS OUR SEASONS OVERLAP IN SOUTH DAKOTA.

We have snow, rain and thunderstorms and sunshine in the same day or the next day. The South Dakota weather is unpredictable.

Determination of Benefits

4.8 How do you handle crisis situations?

| | |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Separate component |
| <input checked="" type="checkbox"/> | Benefit Fast Track, no separate amount of crisis funds is issued. Rather benefits are issued to crisis customers within crisis response time frames. |
| <input type="checkbox"/> | <p>Other - Describe:</p> <p>WHO IS ELIGIBLE?</p> <p>The client may be eligible if the clients meet the following requirements:</p> <p>7. Have a total income no more than 60% of the SD State Median Income; 30% TO 60%/ in approved Model Plan.</p> <ul style="list-style-type: none"> • Be responsible for paying your home heating or cooling bills; |

| | |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <ul style="list-style-type: none"> • Be a resident of Pine Ridge Indian Reservation; and • Be a U.S. Citizen, Tribal member or permanent resident on the Pine Ridge Indian Reservation. |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

HOUSEHOLD INCOME LIMITS

The client may qualify for help if the client total household income does not exceed the household income limits according to the approved Matrix. The OST LIHEAP will help the client determine what is included as income.

Lastly: We can assist in crisis situations. We may network with any and all available resources, districts, churches, non-profits, housing office, for resolution of the crisis. Verification of the crisis is required like light bill, pictures, or visits to the near empty or empty tank. Lack of wood in extreme weather is a critical situation for the household and we rely on our vendors for a quick response. The relationship with our vendors allow immediate resolution to the crisis.

4.9 If you have a separate component, how do you determine crisis assistance benefits?

Amount to resolve the crisis. \$300

Other - Describe:

Crisis Requirements, 2604(c)

4.10 Do you accept applications for energy crisis assistance at sites that are geographically accessible to all households in the area to be served?

Yes No Explain.

Within the Pine Ridge Reservation, there are nine districts established within the boundaries and, in each district, there is a cap office building to assist community members with services such as faxing documents, using the telephone and computers.

4.11 Do you provide individuals who are individuals with a disability the means to:

Submit applications for crisis benefits without leaving their homes?

Yes No

If No, explain.

We utilize the nine districts cap office building as part of our intake and outreach services, to collaborate and coordinate. To conduct intake and submissions of applications to the LIHEAP office. If the crisis is for certified tribal member households, we do over the phone intake to those who are homebound or physically disable and cannot make it into the office. Disitrcet CAP Office Staff can go to the home of those individuals mentioned above. Verification can be made by Tribal Council Members and/or Housing Authority Staff.

Travel to the sites at which applications for crisis assistance are accepted?

Yes No

If No, explain.

We utilize the nine districts cap office building as part of our intake and outreach services, to collaborate and coordinate. To conduct intake and submissions of applications to the LIHEAP office.

If you answered "No" to both options in question 4.11, please explain alternative means of intake to those who are homebound or physically disabled?

We utilize the nine districts cap office building as part of our intake and outreach services, to collaborate and coordinate. To conduct intake and submissions of applications to the LIHEAP office.

Benefit Levels, 2605(c)(1)(B)

4.12 Indicate the maximum benefit for each type of crisis assistance offered.

Winter Crisis \$600.00 maximum benefit

Summer Crisis \$600.00 maximum benefit

Year-round Crisis \$600.00 maximum benefit

4.13 Do you provide in-kind (e.g. blankets, space heaters, fans) and/or other forms of benefits?

Yes No If yes, Describe

We coordinate with our weatherization component to provide small home plumbing repairs and window repairs that may fall into the scope of work of the LIHEAP component, if unable to complete the request, we try to outsource the remaining work to ensure the program completes the request for larger projects.

We offer siding, floorboards, hot water heaters, furnace repair, appliance repair, or replacement if irreparable, wood/electric stoves for homes with no duct work, or heating source, and structures that do not have HVAC components.

We conduct an energy audit, and home assessment on the household to ensure the home is safely heated in colder months, and providing cooling in the warmer summer months.

4.14 Do you provide for equipment repair or replacement using crisis funds?

Yes No

If you answered "Yes" to question 4.14, you must complete question 4.15.

4.15 Check appropriate boxes below to indicate type(s) of assistance provided.

| | Winter Crisis | Summer Crisis | Year-round Crisis |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Heating system repair | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Heating system replacement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cooling system repair | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Cooling system replacement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Wood stove purchase | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Pellet stove purchase | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Solar panel(s) | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Utility poles / gas line hook-ups | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Other (Specify): Minor home repairs (plumbing/electrical) to ensure proper heating, cooling, and safety of the household. Household served will only be assisted one per application year, much have LIHEAP application to be automatically enrolled into the weatherization program. | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

4.16 Do any of the utility vendors you work with enforce a moratorium on shut offs?

Yes No

If you responded "Yes" to question 4.16, you must respond to question 4.17.

4.17 Describe the terms of the moratorium and any special dispensation received by LIHEAP clients during or after the moratorium period.

One utility provider will allow a 30 day promise to pay, while the other will allow 60 days of billing before shut off. This moratorium works well for the households that are responsible for their finances, but fixed income often makes the decision of which bills to pay, some end

up in crises, and life-threatening situations.

4.18 If you experience a natural disaster, do you intend to utilize LIHEAP crisis funds to address disaster related crisis situations? Yes No

If yes, describe

We would conduct the same requirements for natural disasters that may include services for heating or minor repairs.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 5 - WEATHERIZATION ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
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Section 5: WEATHERIZATION ASSISTANCE

Eligibility, 2605(c)(1)(A), 2605(b)(2) - Assurance 2

5.1 Designate the income eligibility threshold used for the Weatherization component

| Add | Household Size | Eligibility Guideline | Eligibility Threshold |
|-----|---------------------|-----------------------|-----------------------|
| 1 | All Household Sizes | State Median Income | 60.00% |

5.2 Do you enter into an interagency agreement to have another government agency administer a WEATHERIZATION component? Yes No

5.3 If yes, name the agency and attach a copy of the Internal Agreement or Contract.

5.4 Is there a separate monitoring protocol for weatherization? Yes No

WEATHERIZATION - Types of Rules

5.5 Under what rules do you administer LIHEAP weatherization? (Check only one.)

| |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Entirely under LIHEAP (not DOE) rules |
| <input type="checkbox"/> Entirely under DOE WAP (not LIHEAP) rules |
| <input type="checkbox"/> Mostly under LIHEAP rules with the following DOE WAP rule(s) where LIHEAP and WAP rules differ (Check all that apply): |
| <input type="checkbox"/> Income Threshold |
| <input type="checkbox"/> Weatherization of entire multi-family housing structure is permitted if at least 66% of units (50% in 2- & 4-unit buildings) are eligible units or will become eligible within 180 days |
| <input type="checkbox"/> Weatherize shelters temporarily housing primarily low income persons (excluding nursing homes, prisons, and similar institutional care facilities). |
| <input checked="" type="checkbox"/> Other - Describe: N/A |

Mostly under DOE WAP rules, with the following LIHEAP rule(s) where LIHEAP and WAP rules differ (Check all that apply.)

| |
|------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Income Threshold |
| <input type="checkbox"/> Weatherization not subject to DOE WAP maximum statewide average cost per dwelling unit. |
| <input type="checkbox"/> Weatherization measures are not subject to DOE Savings to Investment Ration (SIR) standards. |
| <input type="checkbox"/> Other - Describe: |

Eligibility, 2605(b)(5) - Assurance 5

5.6 Do you require an assets test? Yes No

5.7 Do you have additional/differing eligibility policies for :

| | |
|----------------------------------------------|---------------------------------------------------------------|
| Renters | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters living in subsidized housing? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Renters with utilities included in the rent? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

5.8 Do you give priority in eligibility to:

| | |
|--------------------------------|---------------------------------------------------------------|
| Older Adults? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Individuals with a disability? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Young Children? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| House holds with high energy burdens? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| Other? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| If you selected "Yes" for any of the options in questions 5.6, 5.7, or 5.8, you must provide further explanation of these policies in the text field below. | |
| Benefit Levels | |
| 5.9 Do you have a maximum LIHEAP weatherization benefit/expenditure per household? <input checked="" type="radio"/> Yes <input type="radio"/> No | |
| 5.9a If yes, what is the maximum? \$1,500 | |
| 5.10 Do you use an Average Cost per Unit (ACPU). <input type="radio"/> Yes <input checked="" type="radio"/> No | |
| 5.10a If so, what is the ACPU amount? \$0 | |
| Types of Assistance, 2605(c)(1), (B) & (D) | |
| 5.11 What LIHEAP weatherization measures do you provide ? (Check all categories that apply.) | |
| <input checked="" type="checkbox"/> Weatherization needs assessments/audits | <input checked="" type="checkbox"/> Energy related roof repair |
| <input checked="" type="checkbox"/> Caulking and insulation | <input type="checkbox"/> Major appliance repairs |
| <input type="checkbox"/> Storm windows | <input type="checkbox"/> Major appliance replacement |
| <input checked="" type="checkbox"/> Furnace/heating system modifications/repairs | <input checked="" type="checkbox"/> Windows/sliding glass doors |
| <input type="checkbox"/> Furnace replacement | <input checked="" type="checkbox"/> Doors |
| <input checked="" type="checkbox"/> Cooling system modifications/repairs | <input checked="" type="checkbox"/> Water Heater |
| <input checked="" type="checkbox"/> Water conservation measures | <input type="checkbox"/> Cooling system replacement |
| <input type="checkbox"/> Roof top solar | <input type="checkbox"/> Community solar projects |
| <input checked="" type="checkbox"/> Compact florescent light bulbs | <input checked="" type="checkbox"/> Other - Describe: wood stove, plastic, weather stripping, siding. |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 6 - Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

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Section 6: Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

6.1 Select all outreach activities that you conduct that are designed to assure that eligible households are made aware of all LIHEAP assistance available:

- Place posters/fliers in local and county social service offices, offices of aging, Social Security offices, VA, etc.
- Publish articles in local newspapers or broadcast media announcements.
- Include inserts in energy vendor billings to inform individuals of the availability of all types of LIHEAP assistance.
- Mass mailing(s) to prior-year LIHEAP recipients.
- Inform low income applicants of the availability of all types of LIHEAP assistance at application intake for other low-income programs.
- Execute interagency agreements with other low-income program offices to perform outreach to target groups.
- Web Posting
- Email
- Texting
- Events
- Social Media

Other (specify):

Outreach at the nine district services centers. Social media, networking and collaboration with other tribal, private, and secular organizations. Direct outreach and communication with existing/current year LIHEAP recipients. Mass mailing will be sent out to all participants of important time frames and dates of our kick-off of program objectives and our program services.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 7 - Coordination, 2605(b)(4) - Assurance 4

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Section 7: Coordination, 2605(b)(4) - Assurance 4

7.1 Describe how you will ensure that the LIHEAP program is coordinated with other programs available to low-income households (TANF, SSI, WAP, etc.).

| | |
|-------------------------------------|-------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | Joint application for multiple programs (indicate programs included) Referral |
| <input type="checkbox"/> | Intake referrals to/from other programs (indicate programs included) |
| <input checked="" type="checkbox"/> | One - stop intake centers |
| <input type="checkbox"/> | Other - Describe: |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 8 - Agency Designation,, 2605(b)(6) - Assurance 6

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Section 8: Agency Designation, 2605(b)(6) - Assurance 6 (Required for state Grant recipients and the Commonwealth of Puerto Rico)

8.1 How would you categorize the primary responsibility of your State agency?

| | |
|--------------------------|-----------------------------------------------------------------------|
| <input type="checkbox"/> | Administration Agency |
| <input type="checkbox"/> | Commerce Agency |
| <input type="checkbox"/> | Community Services Agency |
| <input type="checkbox"/> | Energy/Environment Agency |
| <input type="checkbox"/> | Housing Agency |
| <input type="checkbox"/> | State Department of Welfare (administers TANF, SNAP, and/or Medicaid) |
| <input type="checkbox"/> | Economic Development Agency |
| <input type="checkbox"/> | Other - Describe: |

Include current list of subrecipient name, main office address (do not list P.O. Box), phone number, county(s) served, Congressional District, and UEI number. *Used for Near hotline and OCS Service Provider Tool and clearinghouse.*

Alternate Outreach and Intake, 2605(b)(15) - Assurance 15

If you selected "State Department of Welfare (administers TANF, SNAP, and/or Medicaid)" in question 8.1, you must complete questions 8.2, 8.3, and 8.4, as applicable.

8.2 How do you provide alternate outreach and intake for heating assistance?

8.3 How do you provide alternate outreach and intake for cooling assistance?>

8.4 How do you provide alternate outreach and intake for crisis assistance?

| 8.5 LIHEAP Component Administration. | Heating | Cooling | Crisis | Weatherization |
|------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| 8.5a Who determines client eligibility? | Tribal Government | Tribal Government | Tribal Government | Tribal Government |
| 8.5b Who processes benefit payments to gas and electric vendors? | Tribal Government | Tribal Government | Tribal Government | |
| 8.5c who processes benefit payments to bulk fuel vendors? | Tribal Government | Tribal Government | Tribal Government | |
| 8.5d Who performs installation of weatherization measures? | | | | Tribal Government |

Include a current list of subrecipient(s) name, main office address (do not list P.O. Box), phone

number, county(s) served, Congressional District, and UEI number.

If any of your LIHEAP components are not centrally-administered by a state agency, you must complete questions 8.6, 8.7, 8.8, and, if applicable, 8.9.

8.6 What is your process for selecting local administering agencies?

n/a

8.7 How many local administering agencies do you use? 1**8.8 Have you changed any local administering agencies in the last year?**

Yes
 No

8.9 If so, why?

| | |
|--------------------------|----------------------------------------------------------------------------|
| <input type="checkbox"/> | Agency was in noncompliance with Grant recipient requirements for LIHEAP - |
| <input type="checkbox"/> | Agency is under criminal investigation |
| <input type="checkbox"/> | Added agency |
| <input type="checkbox"/> | Agency closed |
| <input type="checkbox"/> | Other - describe |

8.10 If a subrecipient is no longer providing LIHEAP, are you aware of prior-year LIHEAP funds being mismanaged or misspent? Yes
 No

8.10a If yes, please explain.

8.10b If you are aware, were other federal programs impacted such as CSBG, SSBG, Head Start, TANF, and Department of Energy Weatherization funding, etc. Yes No

8.10c If yes, please explain.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 9 - Energy Suppliers,, 2605(b)(7) - Assurance 7

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Section 9: Energy Suppliers, 2605(b)(7) - Assurance 7

9.1 Do you make payments directly to home energy suppliers?

Heating Yes No

Cooling Yes No

Crisis Yes No

Are there exceptions? Yes No

If yes, Describe.

All payments are made directly to the vendors.

9.2 How do you notify the client of the amount of assistance paid?

Energy Assistance payments will be made to the energy provider on behalf of the client household. No payments will be made to the LIHEAP Client. The OST Energy office LIHEAP Data Coordinator will be using a voucher system and direct intervention with the energy providers on behalf of the client. The system payments to the vendors will vary with the type of vendor and location within the state. OST LIHEAP office will ensure the proper credits are applied to each of the client's energy provider accounts, and that the credits are applied to the client's account statement. The OST LIHEAP office will utilize bulk payments when applied, and when it pertains to utility payments, such as, propane or electricity bills.

9.3 How do you assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment?

The utility vendors of LIHEAP agree with our office to ensure the fixed rate cost to propane, and the electricity providers, will have the list of the eligible clients.

9.4 How do you assure that no household receiving assistance under this title will be treated adversely because of their receipt of LIHEAP assistance?

We are locked into annual utility contracts with our service providers at a set rate with our propane/fuel/oil vendors. We can better accommodate the client's needs with the fluctuating prices, this price ensures the fixed rate throughout the program fiscal operating year.

9.5. Do you make payments contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households?

Yes No

If so, describe the measures unregulated vendors may take.
Attach a copy of the template statewide vendor agreement or a policy that indicates local agreements must adhere to statewide policies and assurances.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 10 - Program, Fiscal Monitoring, and Audit, 2605(b)(10) - Assurance 10

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Section 10: Program, Fiscal Monitoring, and Audit, 2605(b)(10)

10.1. How do you ensure good fiscal accounting and tracking of funds?

Cuff account and montly reconciliation with the accountant.

10.1a Provide your definitions of the following:

Obligation

Creating a budget for all components.

Reviewing internal policies and procedures with staff (Program Overview

Reviewing OST Administrative Management Manuals

- *Personnel Policies Training
- *Financial Management Policies Training
- *Procurement Policies- Training
- * Records Retention Training

Review Job Descriptions on an Annual Basis

* Safety Plans

- HIPPA Training
- Confidentiality Training
- Privacy Act Training
- Customer Service Training

How to deal with Difficult People Training

Stress in the Work Place Training

Drug Free Work Place Policy

- Reviewing Request for Proposals for Wood Vending
- (Policy on three (3) quotes for Weatherization Items)

Work on meeting with Vendors on RFP and Memorandum of Agreements.

Prepare and coordinate meeting with Energy Vendors for LIHEAP and CSBG Funding and Grants are applicable

Coordinating monthly reconciliation between vendors on a monthly basis for receipting and cuff accounting of

CPR and First Aid Training

EXCEL Training

Prepare articles for News Letter, Radio Program, Tribal Web Page, Face Book and District Dissemination Plan of dates and times.

Out Reach and working on Planning Grant (District Meeting-Community Input on the Tribal Planning Grant

Scheduling outreach and dissemination of information to the general public

Collect reports from staff for monthly report for services and reconciliation with accountants, and vendors.

Review staffs reports on a monthly basis reconciliation with vendors and case management on payments made, reviewing receipts of client delivery and those not yet delivered. (Note Payments are made to only those who receive the assistance, through verification of receipts for the accounts payable process for that month.)

Director will maintain a report with the staff of services not yet provided and those who are still going through the process on a monthly basis. This will correct and catch any overpayments of services on accounts and will ensure payments are only made when services are provided. This reconciliation

Maintaining staff listing and accompanying documentation such as

- 1. Time sheets submitted on a biweekly basis**
- 2. Time study sheets**
- 3. Monthly reports**
- 4. Reconciliation reports for all components of the program**
- 5. Maintain a monthly reports on reporting, data, payments, reconciliations, events, mtgs, trainings and deliverables of program**
- 6. Statistics**
- 7. Forecast of available funding**
- 8. Ensure the program is doing and completing tasks timely.**
- 9. Make sure all payments are coded properly and charged correctly upon signature**

All staff working for the Oglala Sioux Tribe are Trained in HIPPA and Confidentiality and the Privacy Act as well as the Protection of PPI and all applicable laws OSTLIHEAP program are governed by.

All staff are required to the mandatory training on an annual basis as a requirement working for the Oglala Sioux Tribe and its Programs under the umbrella of the Oglala Sioux Tribe.

Expenditures

Applications being processed for payment and being recorded under the fund number allocated to the component within the program. These expenditures are tracked by the OST Financial Accounting Office.

Expenditure timeframe

10-01-25 to 09-30-26

Administrative costs

All personnel staff under the LIHEAP funding for the fiscal year 10-01-24 to 09-30-26

Audit Process

10.2. Is your LIHEAP program audited annually under the Single Audit Act and OMB Circular A - 133?

Yes No

10.2a - if yes, describe your auditor selection process.

The selection process is an external process for the auditor selection.

10.3. Describe any audit findings of the grant recipient (i.e. State/Tribe/Territory) rising to the level of material weakness or reportable condition cited in the single audits, inspector general reviews, or other government agency reviews from the most recently audited fiscal year.

No Findings

| Finding | Type | Brief Summary | Resolved? | Action Taken |
|---------|------|---------------|-----------|--------------|
| 1 | | | | |

10.4. Audits of Local Administering Agencies

What types of annual audit requirements do you have in place for local administering agencies/district offices? Select all that apply.

- Local agencies/district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133
- Local agencies/district offices are required to have an annual audit (other than A-133)
- Local agencies/district offices' A-133 or other independent audits are reviewed by Grant recipient as part of compliance process.
- Grant recipient conducts fiscal and program monitoring of local agencies/district offices
- Local agencies and district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133

Compliance Monitoring

10.5. Describe your monitoring process for compliance at each level below. Check all that apply.

Grant recipients have a policy in place for appropriate separation of duties and internal controls.

- Internal program review
- Departmental oversight
- Secondary review of invoices and payments
- Other program review mechanisms are in place. Describe:

Local Administering Agencies/District Offices:

- On - site evaluation
- Annual program review
- Monitoring through central database
- Desk reviews
- Client File Testing/Sampling
- Other program review mechanisms are in place. Describe:

Expenditure reports, and general ledgers give accurate accounting of expenditures working closely with program accountant, and contracts specialist to track all expenses of the program. We conduct monthly program reviews so we can track spending and review expenses of the program.

10.6 Explain, or attach a copy of your local agency monitoring schedule and protocol.

| |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| n/a |
| 10.7. Describe how you select local agencies for monitoring reviews. Attach a risk assessment if subrecipients are utilized. |
| Site Visits: |
| n/a |
| Desk Reviews: |
| 10.8. How often is each local agency monitored? <i>Please attach a monitoring schedule if one has been developed.</i> |
| Other |
| 10.9. How many local agencies are currently on corrective action plans? 0 |
| If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here. |

Section 11 - Timely and Meaningful Public Participation, , 2605(b)(12) - Assurance 12, 2605(c)(2)

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Section 11: Timely and Meaningful Public Participation, 2605(b)(12), 2605(C)(2)

11.1 How did you obtain input from the public in the development of your LIHEAP plan? Select all that apply.

Note: Tribes do not need to hold a public hearing but must ensure participation through other means.

- Tribal Council meeting(s)
- Public Hearing(s)
- Draft Plan posted to website and available for comment
- Hard copy of plan is available for public view and comment
- Comments from applicants are recorded
- Request for comments on draft Plan is advertised
- Stakeholder consultation meeting(s)
- Comments are solicited during outreach activities
- Other - Describe:

An email was sent out today September 17, 2025 for comments and a timeframe was given to all recipients until September 20th, 2025 for feedback. The email included all OST Tribal Council and administrative staff for the Oglala Sioux Tribe.

Public Hearings, 2605(a)(2) - For States and the Commonwealth of Puerto Rico Only

11.2 List the date and location(s) that you held public hearing(s) on the proposed use and distribution of your LIHEAP funds?

| | Date | Event Description |
|---|------------|-------------------------------------------------------------------------------|
| 1 | 09/20/2024 | (OST) Low-Income Energy Assistance Detailed Model Plan Review/ Comment Period |

11.3. How many parties commented on your plan at the hearing(s)? 2

11.4 Summarize the comments you received at the hearing(s).

Comment back from P. Big Crow;

Comment back from S. Schrader;

Please see attachment.

11.5 What changes did you make to your LIHEAP plan as a result of public participation and solicitation of input?

Changes will be considered and may be implemented once feedback is received.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 12 - Fair Hearings,2605(b)(13) - Assurance 13

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Section 12: Fair Hearings, 2605(b)(13) - Assurance 13

12.1 How many fair hearings did the Grant recipient have in the prior federal Fiscal Year? 8

12.2 How many of those fair hearings resulted in the initial decision being reversed? 8

12.3 Describe any policy and/or procedural changes made in the last federal Fiscal Year as a result of fair hearings?

1. Updated information about loss of income
1. Client was over-income and was shown the process of determining benefits and income factors, the client understood income guidelines to household size
1. Updated information regarding application
1. Provided missing information for application to be certified
1. Client was off-reservation member, can only assist within reservation boundaries, referred to CSBG Program
1. Client was off-reservation member, can only assist within reservation boundaries, referred to CSBG Program
1. Client was off-reservation member, can only assist within reservation boundaries, referred to CSBG Program
1. Client was off-reservation member, can only assist within reservation boundaries, referred to CSBG Program

12.4 Describe your fair hearing procedures for households whose applications are denied and/or not acted upon in a timely manner.

The appeal process is given during application intake, posted in the offices, in our program manual, and the client is notified by the certification form that is given out after intake is completed.

HOW TO APPEAL IF YOU HAVE BEEN DENIED ASSISTANCE

1. Once an applicant's application has been processed by case management and application has determined eligible and Ineligible. Through the Certification Process the client will receive a notice of Ineligibility due to either being over income, by not attaching household listing as required by program, providing residence verification for service area, and not attaching all verifications as required.
2. The client is notified through the certification process, the client/applicant has a right to the appeal process is afforded a hearing as required of the LIHEAP assurance.
3. It is the client's responsibility to complete and attach all required documentation to the Notice of Appeal form to the OST LIHEAP office.
4. The Notice of Decision on *Appeal for Participating in the OST LIHEAP Program Form* can be picked up at the main office, and designed areas- district services centers located in the nine districts (Pine Ridge, Oglala, Wakpamni, Manderson, Porcupine, Kyle, Wanblee, Allen, Lacreek District Services Center sites).
5. Once the client fills out the form in its entirety, and has all attached documentation to this request form, it is the clients/applicants responsibility to attach all documentation which includes the following: a household listing, all income for household, check stubs, weekly, bi weekly, seasonal, contracts, unemployment benefits documentation, veterans' benefits documentation, TANF, Social Security, SSI Retirement and all other income and residency information and all other items for case file.

12.5 When and how are applicants informed of these rights?

At the time of certification and when requested by the applicant.

1. The applicants appeal can then be taken to the main OST LIHEAP office and turned in to Intake, the form will be date stamped and will be forwarded again to the OST LIHEAP Department for recalculation to see if a mistake has been made on the OST LIHEAP's part, in the event it was a mistake on behalf of the programs, the client will be contacted by the staff and will receive a certification indicating what benefits they are eligible for.

2. If the client is still over income, and the client request for a hearing form will be moved forward to the next step and," The Notice of Appeal for the LIHEAP Program Form will be processed for a scheduled hearing. Our office will contact the client by mail, telephone, cell phone and email that would inform the client of the scheduled hearing appealing their participation in the OST LIHEAP Program. A Hearing notice will be sent to the client indicating, date, time and location of appeal hearing.
3. Calls will be made from the OST LIHEAP office to make sure services are expedited and a decision is made on the client case. These calls and email sent will be documented for case file.
4. The OST LIHEAP offers the appealing client an option to have a hearing sooner if time is available on the calendar, however would also let the client know that it is not a guarantee that time frames will be available and we request that the client stick to the scheduled hearing dates and times as currently scheduled. Time is limited but scheduling changes from one week to the next at the director's discretion and availability.
5. The OST LIHEAP is not liable or responsible if staff cannot get a hold of the client at the telephone numbers the client listed and provided on the clients notice of appeal form. The OST LIHEAP Program will mail out a notice to the address that has been provided. A hearing will be scheduled within 30 (working days) for appeal hearing.
6. The OST LIHEAP Office will not allow for any political interference or micromanaging of this process as our program will comply with all applicable laws and mandatory reporting requirements of said grant awards.
7. All appeals and the decisions rendered per appeal **are final** as they are in compliance with all applicable laws per grant award and funding agency requirements.
8. The Notice of Hearing will allow for ½ hour wait time for each client in the event the client is running late and was unable to contact the office of their appearance at the scheduled hearing time frame.
9. If the client fails to not show for the scheduled hearing time, the program will deem this appeal ineligible for services, as the client acknowledges with the determination for the ineligibility status of the requirements of the program.
10. All documentation will be put in the client case file and be placed **as ineligible for services of the OST LIHEAP Program for term of one (1) year.**
11. **IF CHANGES ARISE IN THE CLIENT'S HOUSEHOLD OR EMPLOYMENT SITUATION, THE CLIENT CAN COME BACK IN BEFORE MARCH 31ST AND INFORM STAFF OF THE CHANGES AND THE STAFF WILL RECALCULATE THE AMOUNT TO DETERMINE IF THEY MEET ELIGIBILITY.**

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 13 - Reduction of home energy needs,2605(b)(16) - Assurance 16

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Section 13: Reduction of home energy needs, 2605(b)(16) - Assurance 16

13.1 Describe how you use LIHEAP funds to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance?

N/A

13.2 How do you ensure that you don't use more than 5% of your LIHEAP funds for these activities?

N/A

13.3 Describe the impact of such activities on the number of households served in the previous federal Fiscal Year.

N/A

13.4 Describe the level of direct benefits provided to those households in the previous federal Fiscal Year.

N/A

13.5 How many households received these services? N/A

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 14 - Leveraging Incentive Program ,2607A

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Section 14:Leveraging Incentive Program, 2607(A)

14.1 Do you plan to submit an application for the leveraging incentive program?

Yes No

14.2 Describe instructions to any third parties and/or local agencies for submitting LIHEAP leveraging resource information and retaining records.

N/A

14.3 For each type of resource and/or benefit to be leveraged in the upcoming year that will meet the requirements of 45 C.F.R. § 96.87(d)(2)(iii), describe the following:

| Resource | What is the type of resource or benefit ? | What is the source(s) of the resource ? | How will the resource be integrated and coordinated with LIHEAP? |
|----------|-------------------------------------------|-----------------------------------------|------------------------------------------------------------------|
| 1 | N/A | N/A | N/A |

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 15 - Training

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Section 15: Training

15.1 Describe the training you provide for each of the following groups:

a. Grant recipient Staff:

Formal training provided virtually, on-site, and/or formal training conference

How often?

Annually

Biannually

As needed

Other, describe:

Employees are provided with policy manual

Other, describe:

b. Local Agencies:

Formal training provided virtually, on-site, and/or formal training conference

How often?

Annually

Biannually

As needed

Other, describe:

On-site training

How often?

Annually

Biannually

As needed

Other, describe:

Employees are provided with policy manual

Other, describe:

c. Vendors

Formal training conference

How often?

Annually

Biannually

As needed

Other, describe:

Policies communicated through vendor agreements

Policies are outlined in a vendor manual

Other, describe:

15.2 Does your training program address fraud reporting and prevention?

Yes
 No

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 16 - Performance Goals and Measures, 2605(b)

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Section 16: Performance Goals and Measures, 2605(b) - Required for States Only

16.1 Describe your progress toward meeting the data collection and reporting requirements of the four required LIHEAP (Benefit Targeting Index, Burden Reduction Targeting Index, Restoration of Home Energy Service, and Prevention of Loss of Home Energy Service). Include timeframes and plans for meeting these requirements and what you believe will be accomplished in the coming federal fiscal year.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 17 - Program Integrity, 2605(b)(10)

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Section 17: Program Integrity, 2605(b)(10)

17.1 Fraud Reporting Mechanisms

a. Describe all mechanisms available to the public for reporting cases of suspected waste, fraud, and abuse. Select all that apply.

- Online Fraud Reporting
- Dedicated Fraud Reporting Hotline
- Report directly to local agency/district office or Grant recipient office
- Report to State Inspector General or Attorney General
- Forms and procedures in place for local agencies/district offices and vendors to report fraud, waste, and abuse
- Other - Describe:

Tribal programmatic and political structures allow for very open communication and ways to address the concern. Concerned community members can formally submit a report to our offices.

b. Describe strategies in place for advertising the above-referenced resources. Select all that apply

- Printed outreach materials
- Posted in local administering agencies offices.
- Addressed on LIHEAP application
- Website
- Other - Describe:

Addressed in our appeals process and is explained to the client when applying for services of the program.

17.2. Identification Documentation Requirements

a. Indicate which of the following forms of identification are required or requested to be collected from LIHEAP applicants or their household members.

| Type of Identification Collected | Collected from Whom? | | |
|--------------------------------------------------------------------------------------------------------|-----------------------------------------------|-----------------------------------------------|-----------------------------------------------|
| | Applicant Only | All Adults in Household | All Household Members |
| Social Security Card is photocopied and retained | <input type="checkbox"/> Required | <input type="checkbox"/> Required | <input type="checkbox"/> Required |
| | <input checked="" type="checkbox"/> Requested | <input checked="" type="checkbox"/> Requested | <input checked="" type="checkbox"/> Requested |
| Social Security Number (Without actual Card) | <input checked="" type="checkbox"/> Required | <input checked="" type="checkbox"/> Required | <input checked="" type="checkbox"/> Required |
| | <input type="checkbox"/> Requested | <input type="checkbox"/> Requested | <input type="checkbox"/> Requested |
| Government-issued identification card (i.e.: driver's license, state ID, Tribal ID, passport, etc.) | <input type="checkbox"/> Required | <input type="checkbox"/> Required | <input type="checkbox"/> Required |
| | <input checked="" type="checkbox"/> Requested | <input type="checkbox"/> Requested | <input type="checkbox"/> Requested |

| | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--------------------------|--------------------------|----------------------------------|-----------------------------------|--------------------------------|---------------------------------|
| | | | | | | | |
| | Other | Applicant Only Required | Applicant Only Requested | All Adults in Household Required | All Adults in Household Requested | All Household Members Required | All Household Members Requested |
| 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 17.3. Citizenship/Legal Residency Verification | | | | | | | |
| What are your procedures for ensuring LIHEAP recipients are U.S. citizens or qualified non-citizens who are eligible to receive LIHEAP benefits? Select all that apply. | | | | | | | |
| <input type="checkbox"/> Clients sign an attestation of citizenship or U.S. Citizen or Qualified Non-Citizen <input checked="" type="checkbox"/> Client's submission of certain Social Security Administration cards is accepted as proof of U.S. Citizen or Qualified Non-Citizen. <input type="checkbox"/> Non-Citizens must provide documentation of immigration status <input checked="" type="checkbox"/> Citizens must provide a copy of their birth certificate, naturalization papers, or passport <input type="checkbox"/> Non-Citizens are verified through the SAVE system <input checked="" type="checkbox"/> Tribal members are verified through Tribal enrollment records/Tribal ID card <input type="checkbox"/> Other - Describe: 17.4. Income Verification What methods does your agency utilize to verify household income? Select all that apply. | | | | | | | |
| <input checked="" type="checkbox"/> Require documentation of income for all adult household members <input checked="" type="checkbox"/> Pay stubs <input checked="" type="checkbox"/> Social Security award letters <input checked="" type="checkbox"/> Bank statements <input checked="" type="checkbox"/> Tax statements <input checked="" type="checkbox"/> Zero-income statements <input checked="" type="checkbox"/> Unemployment Insurance letters <input checked="" type="checkbox"/> Other - Describe: OST Food Distribution cards, which verify low or no income households. | | | | | | | |
| <input type="checkbox"/> Computer data matches: <input type="checkbox"/> Income information matched against state computer system (e.g., SNAP, TANF) <input type="checkbox"/> Proof of unemployment benefits verified with state Department of Labor <input type="checkbox"/> Social Security income verified with SSA <input type="checkbox"/> Utilize state directory of new hires <input type="checkbox"/> Other - Describe: | | | | | | | |
| b. Describe any exceptions to the above policies. | | | | | | | |
| 17.5 Identification Verification | | | | | | | |
| Describe what methods are used to verify the authenticity of identification documents provided by clients or household members. Select all that apply | | | | | | | |
| <input type="checkbox"/> Verify SSNs with Social Security Administration <input checked="" type="checkbox"/> Match SSNs with death records from Social Security Administration or state agency <input checked="" type="checkbox"/> Match SSNs with state eligibility/case management system (e.g., SNAP, TANF) <input type="checkbox"/> Match with state Department of Labor system <input type="checkbox"/> Match with state and/or federal corrections system <input type="checkbox"/> Match with state child support system <input type="checkbox"/> Verification using private software (e.g., The Work Number) <input checked="" type="checkbox"/> In-person certification by staff (for tribal Grant recipients only) <input checked="" type="checkbox"/> Match SSN/Tribal ID number with tribal database or enrollment records (for tribal Grant recipients only) | | | | | | | |

| |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Other - Describe: |
| 17.6. Protection of Privacy and Confidentiality |
| Describe the financial and operating controls in place to protect client information against improper use or disclosure. Select all that apply. |
| <input checked="" type="checkbox"/> Policy in place prohibiting release of information without written consent |
| <input type="checkbox"/> Grant recipient LIHEAP database includes privacy/confidentiality safeguards |
| <input checked="" type="checkbox"/> Employee training on confidentiality for: |
| <input checked="" type="checkbox"/> Grant recipient employees |
| <input checked="" type="checkbox"/> Local agencies/district offices |
| <input checked="" type="checkbox"/> Employees must sign confidentiality agreement |
| <input checked="" type="checkbox"/> Grant recipient employees |
| <input checked="" type="checkbox"/> Local agencies/district offices |
| <input checked="" type="checkbox"/> Physical files are stored in a secure location |
| <input checked="" type="checkbox"/> Electronic files are protected in a secure location. |
| <input checked="" type="checkbox"/> Other - Describe: |
| HIPAA/Privacy Act Training |
| 17.7. Verifying the Authenticity |
| What policies are in place for verifying vendor authenticity? Select all that apply. |
| <input checked="" type="checkbox"/> All vendors must register with the State/Tribe. |
| <input checked="" type="checkbox"/> All vendors must supply a valid SSN or TIN/W-9 form |
| <input checked="" type="checkbox"/> Vendors are verified through energy bills provided by the household |
| <input checked="" type="checkbox"/> Grant recipient and/or local agencies/district offices perform physical monitoring of vendors |
| <input type="checkbox"/> Other - Describe and note any exceptions to policies above: |
| 17.8. Benefits Policy - Gas and Electric Utilities |
| What policies are in place to protect against fraud when making benefit payments to gas and electric utilities on behalf of clients? Select all that apply. |
| <input checked="" type="checkbox"/> Applicants required to submit proof of physical residency |
| <input checked="" type="checkbox"/> Applicants must submit current utility bill |
| <input checked="" type="checkbox"/> Data exchange with utilities that verifies: |
| <input checked="" type="checkbox"/> Account ownership |
| <input checked="" type="checkbox"/> Consumption |
| <input checked="" type="checkbox"/> Balances |
| <input checked="" type="checkbox"/> Payment history |
| <input checked="" type="checkbox"/> Account is properly credited with benefit |
| <input type="checkbox"/> Other - Describe: |
| <input checked="" type="checkbox"/> Centralized computer system/database tracks payments to all utilities |
| <input type="checkbox"/> Centralized computer system automatically generates benefit level |
| <input checked="" type="checkbox"/> Separation of duties between intake and payment approval |
| <input checked="" type="checkbox"/> Payments coordinated among other energy assistance programs to avoid duplication of payments |
| <input type="checkbox"/> Payments to utilities and invoices from utilities are reviewed for accuracy |
| <input checked="" type="checkbox"/> Computer databases are periodically reviewed to verify accuracy and timeliness of payments made to utilities |
| <input type="checkbox"/> Direct payment to households are made in limited cases only |
| <input checked="" type="checkbox"/> Procedures are in place to require prompt refunds from utilities in cases of account closure |
| <input type="checkbox"/> Vendor agreements specify requirements selected above, and provide enforcement mechanism |
| <input type="checkbox"/> Other - Describe: |

17.9. Benefits Policy - Bulk Fuel Vendors

What procedures are in place for averting fraud and improper payments when dealing with bulk fuel suppliers of heating oil, propane, wood, and other bulk fuel vendors? Select all that apply.

- Vendors are checked against an approved vendors list
- Centralized computer system/database is used to track payments to all vendors
- Clients are relied on for reports of non-delivery or partial delivery
- Two-party checks are issued naming client and vendor
- Direct payment to households are made in limited cases only
- Vendors are only paid once they provide a delivery receipt signed by the client
- Conduct monitoring of bulk fuel vendors
- Bulk fuel vendors are required to submit reports to the grant recipient.
- Vendor agreements specify requirements selected above, and provide enforcement mechanism
- Other - Describe:

17.10. Investigations and Prosecutions

Describe the Grant recipients procedures for investigating and prosecuting reports of fraud, and any sanctions placed on clients, staff, or vendors found to have committed fraud. Select all that apply.

- Refer to state Inspector General
- Refer to local prosecutor or state Attorney General
- Refer to US DHHS Inspector General (including referral to OIG hotline)
- Local agencies/district offices or Grant recipient conduct investigation of fraud complaints from public
- Grant recipient attempts collection of improper payments. If so, describe the recoupment process
- Clients found to have committed fraud are banned from LIHEAP assistance. For how long is a household banned? One funding year
- Contracts with local agencies require that employees found to have committed fraud are reprimanded and/or terminated
- Vendors found to have committed fraud may no longer participate in LIHEAP
- Other - Describe:

Misappropriations are referred to OST FAO, OST Tribal Attorney, and the Department of Public Safety.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 18: Certification Regarding Debarment, Suspension, and Other Responsibility Matters

Section 18: Certification Regarding Debarment, Suspension, and Other Responsibility Matters

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. BrBbr.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or

voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later

determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

By checking this box, the prospective primary participant is providing the certification set out above.

Section 19: Certification Regarding Drug-Free Workplace Requirements

Section 19: Certification Regarding Drug-Free Workplace Requirements

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the Grant recipient is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the Grant recipient knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For Grant recipients other than individuals, Alternate I applies.
4. For Grant recipients who are individuals, Alternate II applies.
5. Workplaces under grants, for Grant recipients other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the Grant recipient does not identify the workplaces at the time of application, or upon award, if there is no application, the Grant recipient must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the Grant recipients drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the Grant recipient shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grant recipients attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a Grant recipient directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the Grant recipients payroll. This definition does not include workers not on the payroll of the Grant recipient (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the Grant recipients payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grant recipients Other Than Individuals)

The Grant recipient certifies that it will or will continue to provide a drug-free workplace by:,

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Grant recipients workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about --
 - (1)The dangers of drug abuse in the workplace;
 - (2) The Grant recipients policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a

central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The Grant recipient may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (*That this must be physical address. No PO Boxes allowed.*)

203 Veterans Avenue

*** Address Line 1**

Address Line 2

Address Line 3

Pine Ridge
*** City**

SD
*** State**

57770-1051
*** Zip Code**

Check if there are workplaces on file that are not identified here.

Alternate II. (Grant recipients Who Are Individuals)

(a) The Grant recipient certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

By checking this box, the prospective primary participant is providing the certification set out above.

Section 20: Certification Regarding Lobbying

Section 20: Certification Regarding Lobbying

The submitter of this application certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By checking this box, the prospective primary participant is providing the certification set out above.

Assurances

Assurances

(1) use the funds available under this title to--

(A) conduct outreach activities and provide assistance to low income households in meeting their home energy costs, particularly those with the lowest incomes that pay a high proportion of household income for home energy, consistent with paragraph (5);

(B) intervene in energy crisis situations;

(C) provide low-cost residential weatherization and other cost-effective energy-related home repair; and

(D) plan, develop, and administer the State's program under this title including leveraging programs, and the State agrees not to use such funds for any purposes other than those specified in this title;

(2) make payments under this title only with respect to--

(A) households in which one or more individuals are receiving--

(i) assistance under the State program funded under part A of title IV of the Social Security Act;

(ii) supplemental security income payments under title XVI of the Social Security Act;

(iii) food stamps under the Food Stamp Act of 1977; or

(iv) payments under section 415, 521, 541, or 542 of title 38, United States Code, or under section 306 of the Veterans' and Survivors' Pension Improvement Act of 1978; or

(B) households with incomes which do not exceed the greater of -

(i) an amount equal to 150 percent of the poverty level for such State; or

(ii) an amount equal to 60 percent of the State median income;

(except that a State may not exclude a household from eligibility in a fiscal year solely on the basis of household income if such income is less than 110 percent of the poverty level for such State, but the State may give priority to those households with the highest home energy costs or needs in relation to household income.

(3) conduct outreach activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals, or both, and households with high home energy burdens, are made aware of the assistance available under this title, and any similar energy-related assistance available under subtitle B of title VI (relating to community services block grant program) or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(4) coordinate its activities under this title with similar and related programs administered by the Federal Government and such State, particularly low-income

energy-related programs under subtitle B of title VI (relating to community services block grant program), under the supplemental security income program, under part A of title IV of the Social Security Act, under title XX of the Social Security Act, under the low-income weatherization assistance program under title IV of the Energy Conservation and Production Act, or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(5) provide, in a timely manner, that the highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income, taking into account family size, except that the State may not differentiate in implementing this section between the households described in clauses 2(A) and 2(B) of this subsection;

(6) to the extent it is necessary to designate local administrative agencies in order to carry out the purposes of this title, to give special consideration, in the designation of such agencies, to any local public or private nonprofit agency which was receiving Federal funds under any low-income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that -

(A) the State shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the State; and

(B) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the State shall give special consideration in the designation of local administrative agencies to any successor agency which is operated in substantially the same manner as the predecessor agency which did receive funds for the fiscal year preceding the fiscal year for which the determination is made;

(7) if the State chooses to pay home energy suppliers directly, establish procedures to --

(A) notify each participating household of the amount of assistance paid on its behalf;

(B) assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment made by the State under this title;

(C) assure that the home energy supplier will provide assurances that any agreement entered into with a home energy supplier under this paragraph will contain provisions to assure that no household receiving assistance under this title will be treated adversely because of such assistance under applicable provisions of State law or public regulatory requirements; and

(D) ensure that the provision of vendor payments remains at the option of the State in consultation with local Grant recipients and may be contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households, including providing for agreements between suppliers and individuals eligible for benefits under this Act that seek to reduce home energy costs, minimize the risks of home energy crisis, and encourage regular payments by individuals receiving financial assistance for home energy costs;

(8) provide assurances that,

(A) the State will not exclude households described in clause (2)(B) of this subsection from receiving home energy assistance benefits under clause (2), and

(B) the State will treat owners and renters equitably under the program assisted under this title;

(9) provide that--

(A) the State may use for planning and administering the use of funds under this title an amount not to exceed 10 percent of the funds payable to such State under this title for a fiscal year; and

(B) the State will pay from non-Federal sources the remaining costs of planning and administering the program assisted under this title and will not use Federal funds for such remaining cost (except for the costs of the activities described in paragraph (16));

(10) provide that such fiscal control and fund accounting procedures will be established as may be necessary to assure the proper disbursal of and accounting for Federal funds paid to the State under this title, including procedures for monitoring the assistance provided under this title, and provide that the State will comply with the provisions of chapter 75 of title 31, United States Code (commonly known as the "Single Audit Act");

(11) permit and cooperate with Federal investigations undertaken in accordance with section 2608;

(12) provide for timely and meaningful public participation in the development of the plan described in subsection (c);

(13) provide an opportunity for a fair administrative hearing to individuals whose claims for assistance under the plan described in subsection (c) are denied or are not acted upon with reasonable promptness; and

(14) cooperate with the Secretary with respect to data collecting and reporting under section 2610.

(15) * beginning in fiscal year 1992, provide, in addition to such services as may be offered by State Departments of Public Welfare at the local level, outreach and intake functions for crisis situations and heating and cooling assistance that is administered by additional State and local governmental entities or community-based organizations (such as community action agencies, area agencies on aging and not-for-profit neighborhood-based organizations), and in States where such organizations do not administer functions as of September 30, 1991, preference in awarding grants or contracts for intake services shall be provided to those agencies that administer the low-income weatherization or energy crisis intervention programs.

*** This assurance is applicable only to States, and to territories whose annual regular LIHEAP allotments exceed \$200,000. Neither territories with annual allotments of \$200,000 or less nor Indian tribes/tribal organizations are subject to Assurance 15.**

(16) use up to 5 percent of such funds, at its option, to provide services that encourage and enable households to reduce their home energy needs and

thereby the need for energy assistance, including needs assessments, counseling, and assistance with energy vendors, and report to the Secretary concerning the impact of such activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.

By checking this box, the prospective primary participant is agreeing to the Assurances set out above.

Plan Attachments

| PLAN ATTACHMENTS |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The following documents must be attached to this application |
| <ul style="list-style-type: none">• Delegation Letter is required if someone other than the Governor or Chairman Certified this Report. |
| <ul style="list-style-type: none">• Heating component benefit matrix, if applicable |
| <ul style="list-style-type: none">• Cooling component benefit matrix, if applicable |
| <ul style="list-style-type: none">• Minutes, notes, or transcripts of public hearing(s). |
| <ul style="list-style-type: none">• Policy Manual. |
| <ul style="list-style-type: none">• Subrecipient Contract. |
| <ul style="list-style-type: none">• Model Plan Participation Notes for Tribes. |